

# ROADMAP FOR ETS IMPLEMENTATION

*Indicative list of suggested inputs; ECRAN workshop March 25-26, Zagreb, Croatia*

## 1- Political and economic context

- Structure of the economy and economic developments; energy-intensity and carbon-intensity of the economy
- Relevant national strategies, goals defined and targets set
- EU Accession and requirements resulting from that
- ECRAN activities and need for ETS implementation plan

## 2- Emissions trading and key institutional requirements

- Key elements of emissions trading
- Roles and responsibilities in emissions trading
- Formulation of legislation
- Setting up required institutes
  - Competent Authority
  - Inspectorate
  - Verifiers
  - National Accreditation Body

## 3- ACTION PLAN FOR TRANSPOSITION AND IMPLEMENTATION

- Prepare and adopt legislation
- Identify roles and responsibilities
- Set up an organisational structure
- Capacity building of institutional stakeholders
- Capacity building of industrial stakeholders
- Capacity requirements, timelines

## 4- The legislative framework

- Deciding on scope and coverage of national ETS
- Formulating implementation plan (and where relevant transition plan towards the EU ETS)
- Transposition of primary ETS legislation
- Transposition of secondary ETS legislation (such as on allocation, MRVA, registry, etc.)
- Additional legislation needed for assigning responsibilities (such as data gathering)

## **5- IDENTIFICATION OF INSTALLATIONS**

- Sources for identification of installations
- Identifying data needs and data collection process
- Involvement of operators
- Capacity building activities
- Identification of technical assistance needed

## **6- PERMITTING**

- Deciding on responsibilities and permitting process
- Relation with IER permitting

## **7- ALLOCATION**

- Define timeframe and national approval process for allocation
- Formulation of National Allocation Plan or National Implementation Measures (NIMs)
  - Data gathering process
  - Checks for completeness and consistency
  - Correction factors
- Identification of technical assistance needed

## **8- MONITORING AND REPORTING**

- Deciding on need for IT system and choice of system (if relevant)
- Deciding on process for approval of Monitoring Plans approval
- Regulation and planning of on-site visits of installations
- Validation of Monitoring Plans and Annual Emission Reports
- Identification of technical assistance needed

## **9- REGISTRY**

- Choice for registry system (national, regional, EC registry)
- Assigning roles and responsibilities for registry, including international coordination on integrity of the system
- Purchase/development and technical set-up of IT system
- Identification of technical assistance needed

## **10- AUCTIONING**

- Decision on auctioning platform (national, regional, EU auctioning platform) and appointing auctioning platform (if relevant)
- Cash flow determination
- Decision for earmarking use of auctioning revenues (in line with state aid rules)

## **11- ACCREDITATION AND VERIFICATION**

- Set-up of national accreditation body
- Define communication rules between competent authority, national accreditation body and operators- verifies- inspectorate
- Capacity building accreditation body
- Capacity building of verifiers
- Identification of technical assistance needed

## **12- INSPECTION**

- Set-up of inspectorate
- Decision on inspection requirements and selection procedures

## **13- SANCTIONS**

- Procedures for control and sanctioning
- Enforcement procedures