

EU ETS implementation in the Netherlands



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Dutch ETS facts and figures

 = 450

 = 25

 = 150

 = 85 M

Average annual workload

- 100 inspections
- 550 validated changes
- 1500 questions answered
- 300 KYC-checks in registry



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Nea: organisational choices

- Independent entity; separated from the Ministry
 - “The political color should not play a role in enforcement”
 - “The Minister is actually a participant; a trader”
- The main tasks for ETS oversight are gathered in one dedicated authority
 - ETS is quite complex – combine the knowledge
- NEa gives solicited and unsolicited advice
 - Active feedback towards policy
 - Feedback from practical impact to policy is essential

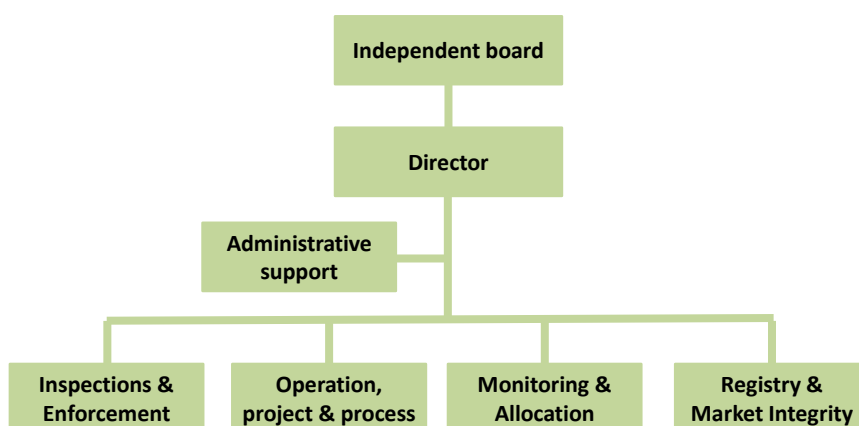


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Organisational chart

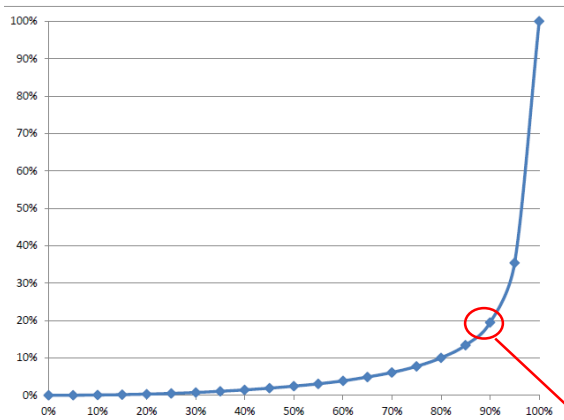


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The risk-based approach



80% of emissions in 10% of the installations



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Validation of submitted annual emissions reports (AER's)

Step 1: Administrative check	Step 2: content check
Completeness <ul style="list-style-type: none">all required documents available?relevant documents are signed?emissions figures in both reports the same?	Risk-based approach <ul style="list-style-type: none">Check reports with OPINION - verified with commentsEvaluating of Annex 1 and Annex 3 commentsSignals from the inspectionsOther thematic topics
Verification <ul style="list-style-type: none">Opinion statement - verified as satisfactoryOpinion statement - verified with commentsOpinion statement - not verified	All other reports will be checked during inspections
Timeliness <ul style="list-style-type: none">Submitted before April 1st?	



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Dutch Compliance & Enforcement Strategy 2014-2020

Risk-based approach: companies are categorised and frequency of inspections is based on category

- Large and complex companies with a high emission >> yearly inspection (partition of the plant).
- Complex companies with a medium level emissions >> once in 3 years inspection.
- Companies that will be inspected once in a trading period.
- Companies that won't be inspected unless they are selected by a random test.
- Class D companies - companies with only a natural gas invoice >> possible to rely on verification only.



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