



**Expert Training on Risk and Vulnerability Assessment and  
Adaptation Planning**

# **Cooperation and coordination among authorities**

**Dr.-Ing. Peter Heiland; Darmstadt, Germany**

## Warm up / quotes ... HAVE YOU HEARD THIS BEFORE?

1. Adaptation is something for pessimists!  
I am positive person, I do not believe in this stuff.
2. Adaption is a luxury. We have really other more important tasks and no time and no money for that.
3. Our department is not responsible for CC.  
So we do not develop CC-actions. Ask ... someone else!
4. If CC-effects happen in 2050, we do not have to take measures now. We can save money now!





## Peter Heiland, INFRASTRUKTUR & UMWELT

- Flood risk management
- **Adaptation to climate change**
- **Climate protection**
- **Energy Concepts**
- Traffic infrastructure (Rail, Airports, Roads)
- Sustainable regional development
- Conversion of brownfields
- Waste management
- Consulting in developing countries
- Project management



# (Inter)national projects – in different fields





## CC-Adaptation + FRM strategies

Germany, Federal Ministries  
Baden-Württemberg  
Nordrhein-Westfalen  
Austria

Albania (GIZ) / Tirana / Shkodra  
Montenegro (GIZ) / Podgorica  
Serbia (GIZ) / Belgrade  
(Vietnam, Lao)

## Flood-risk-man. plans

Rhine River  
(10 FRM plans)

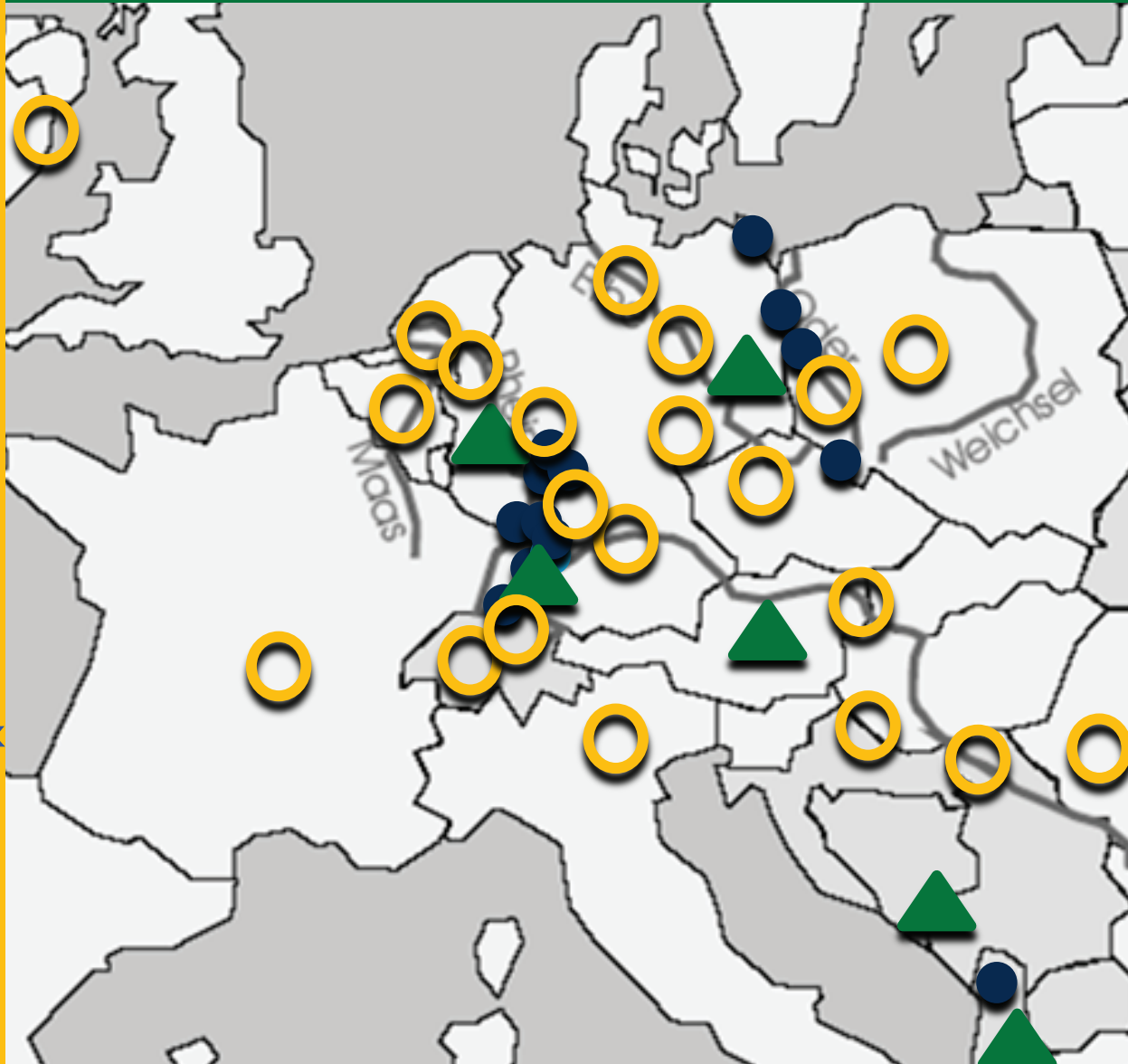
Neckar  
(with tributaries)

Main  
(u.a. Schwarzbach)

Oder  
(mit Lausitzer Neiße,  
Oderbruch und  
Stromoder)

Coast /  
Vorpommern/  
Usedom

Drin / Shkoder  
(Albanien)



- 1. Why is adaptation so difficult to communicate?**  
... to decision makers and public and ...
  - 2. Cooperation (and communication) as instruments**  
to drive infrastructure planning (and adaptation) forward
  - 3. Levels of cooperation, involved actors**  
(Inter Communal Cooperation; Regional Cooperation between stakeholders, Inner-municipal cooperation)
  - 4. Lessons learnt:**  
**Success factors, regarded bottlenecks and problems**
- ... presented with examples of cooperations on the different levels.**





**Heat stress**



**Flooding (Rivers, Coast, Cities)**

**... including flash floods**



**Drought**



**Storms**



We have to adapt!



BUT: NOT ALL Adaptation actions are that attractive!



**Future Cities**  
urban networks to face climate change



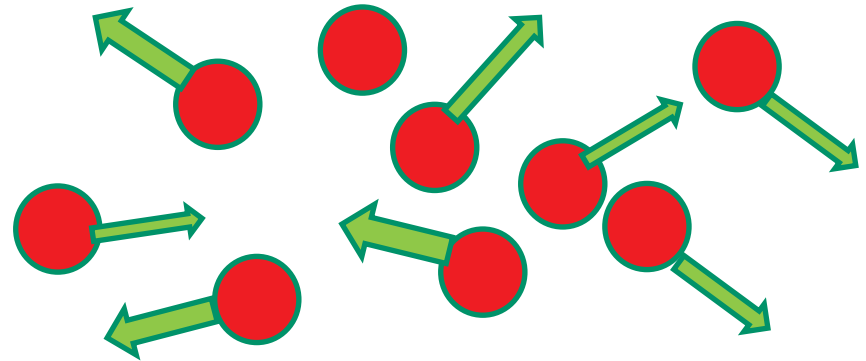


# Adaptation to changing conditions in the energy sector

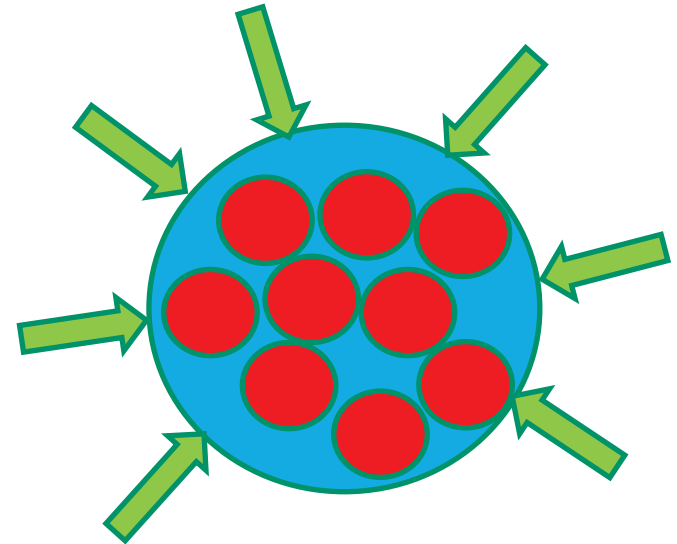
Market / demand	Ressources / techniques	Climate protection	Climate change	Society / Trends
Increasing demand	Availability / limitation	Carbon gas reduction	Draughts	Risks
Prices	Costs / price	Efficiency	Floods	Sustainability
Substitution potential	Storage capacities	Policies / standards	Heat	Fair production /
Security / availability	Production techniques		Storms	Fair distribution
✓✓✓	✓✓✓	✓ !!	!! ✓	✓ !!

# Adaptation is not attractive ... (?)

- Freedom to develop
- Growth
- Extension / Increase
- Individual ideas ...



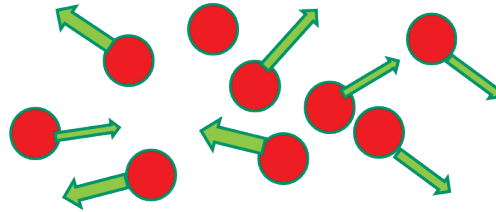
- Restrictions
- Recommendations
- Changes
- Uncertainty
- Additional costs



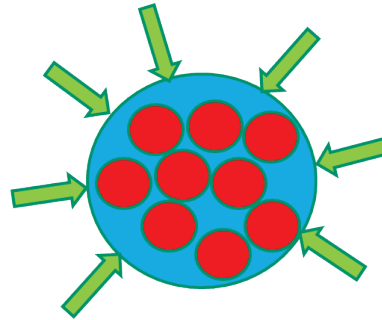


# Opportunities – chances!!

- Freedom to develop
- Growth
- Extension / Increase
- Individual ideas ...



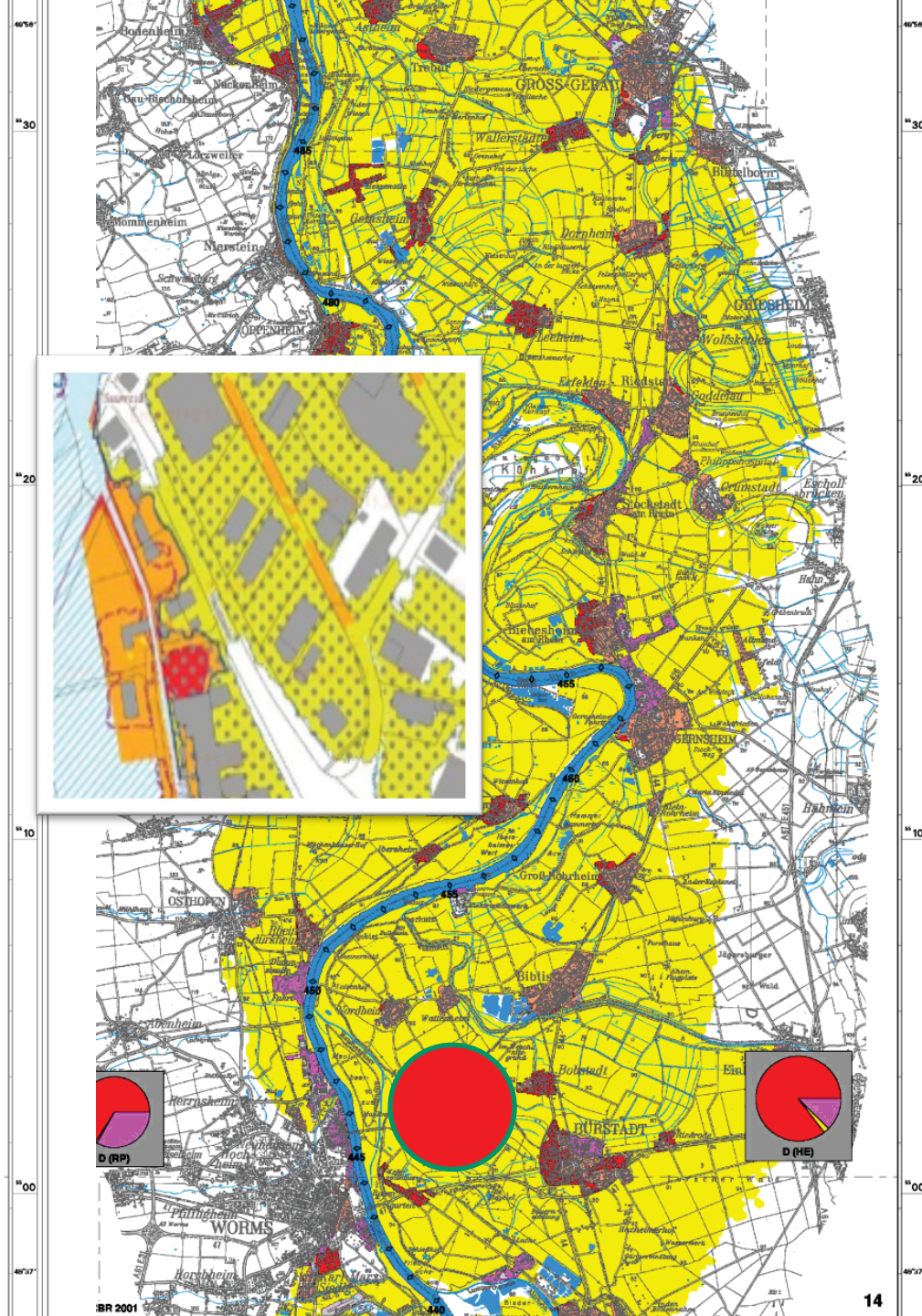
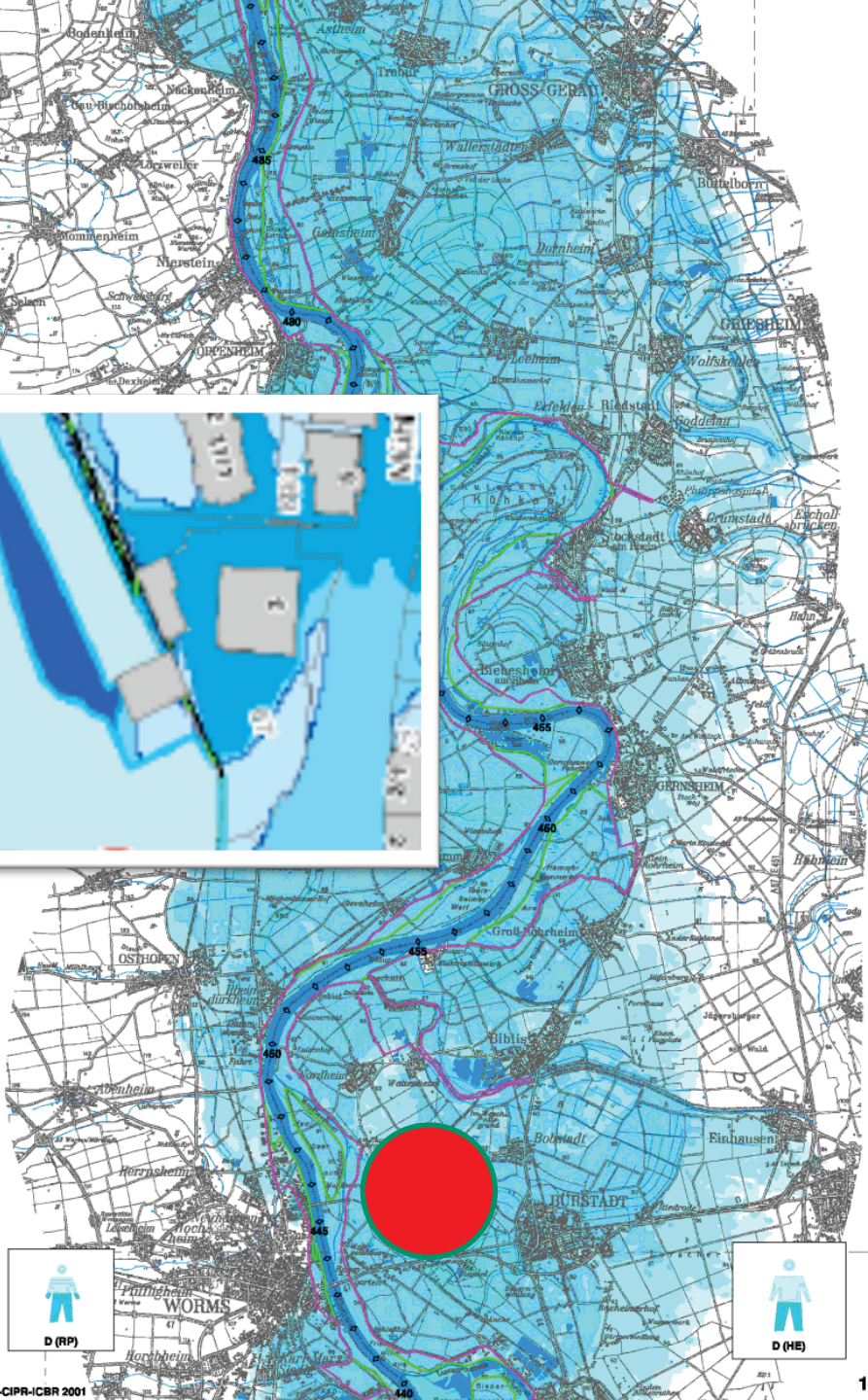
- Restrictions
- Recommendations
- Changes
- Uncertainty
- Additional costs



Opportunities, like:

- **Combination with MITIGATION (in public debate!)**
- **Low (No)-Regret measures**
- **Fund for combined measures**
- **Use windows of opportunity**







# Instruments of environmental and urban politics

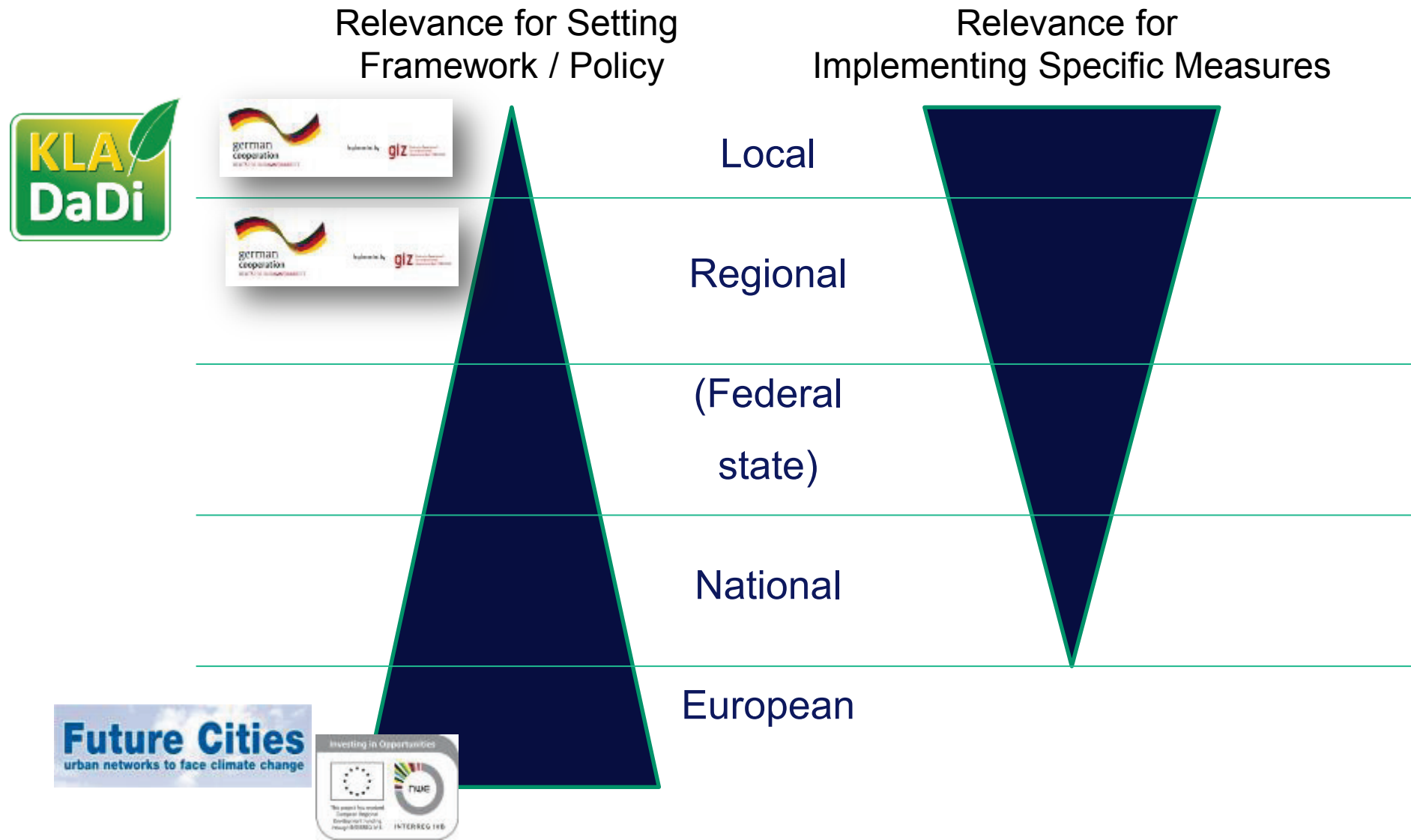
**Regulative Instruments**  
(„forbid“ / „allow“)

**Combination +  
Coordination +  
Cooperation +  
Communication**

**Economic  
Instruments:**  
(compensation, negotiation,  
incentives, taxes)

**Discursive Instruments:**  
**Cooperation**  
(Communal / Intercomm. /  
regional Co-operations,  
Commissions)

## Levels of cooperation: practical relevance for adaptation



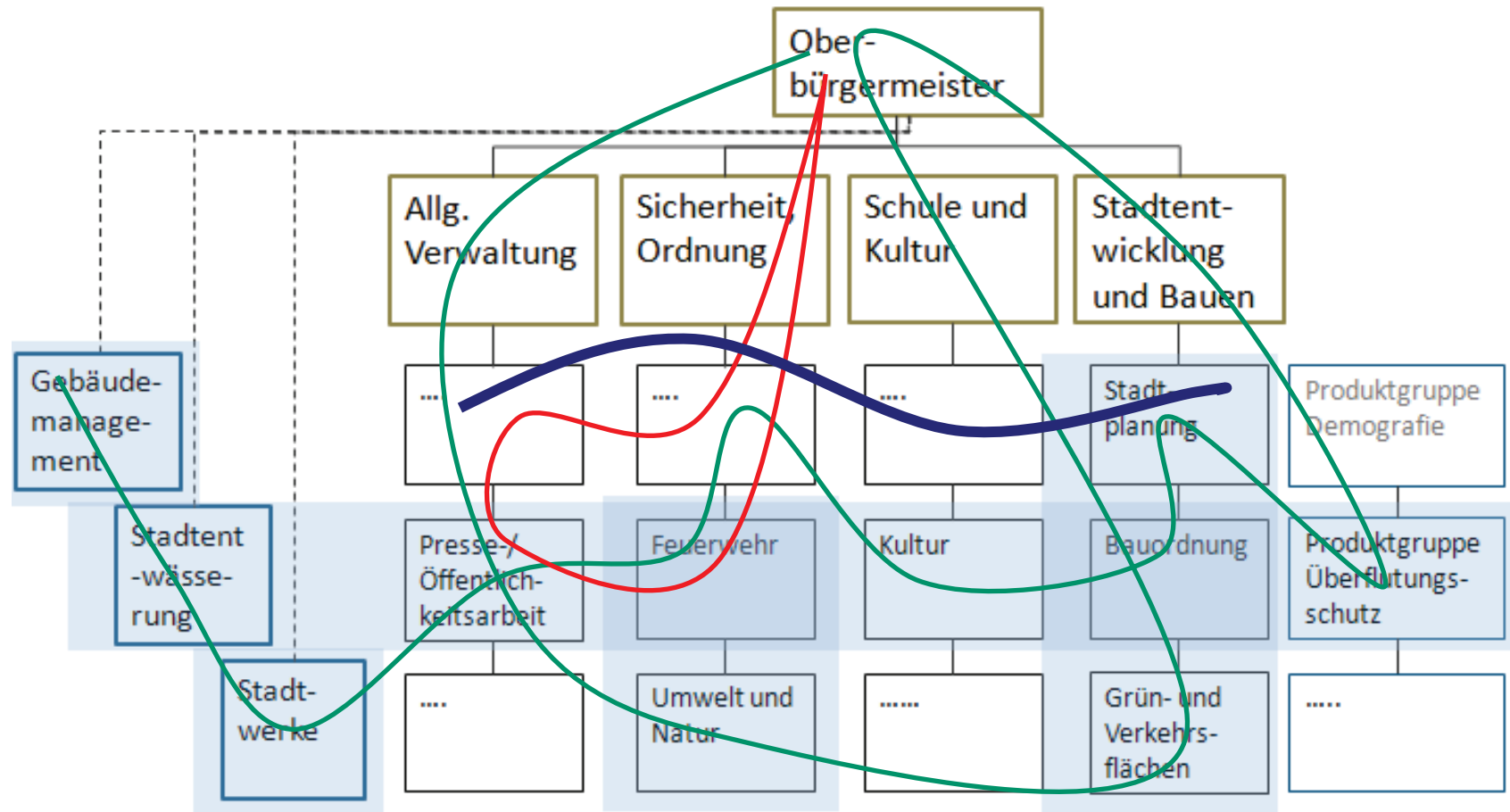
# Cooperation levels (for municipalities)

Type of municipality's cooperation	Cooperation goal / benefit
A. Within the municipality	<ul style="list-style-type: none"><li>• Cross sector coordination (strategy, exchange)</li><li>• Implementation of cross sector CCA-measures</li><li>• Awareness raising (in municipality, public)</li><li>• Political visibility of CCA-actions</li></ul>
B. Between the municipality and stakeholders	<ul style="list-style-type: none"><li>• Joint realisation of CCA-measures</li><li>• Integration of stakeholders in strategy development</li><li>• Awareness raising</li></ul>
C. Between municipalities	<ul style="list-style-type: none"><li>• Exchange of experiences</li><li>• Joint lobbying</li><li>• Joint development of transferable tools</li></ul>



# Cooperation within municipalities: cross sector approach

Identification of relevant players in the city administration: interdisciplinary



# Sectors that must be involved

CCA impacts and adaptation can concern all sectors of a city

## ✓ Population



- Health services
- Social services
- Rescue services / civil protection

## ✓ Infrastructure



- Water supply
- Waste water management
- Water management / Flood protection
- Transport / public transport
- **Energy sector**

## ✓ Built environment



- Urban planning
- Housing departments / companies
- (architects)
- („handcraft people“)

## ✓ Economy



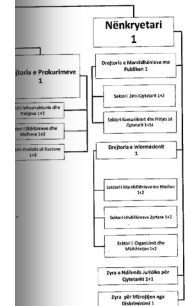
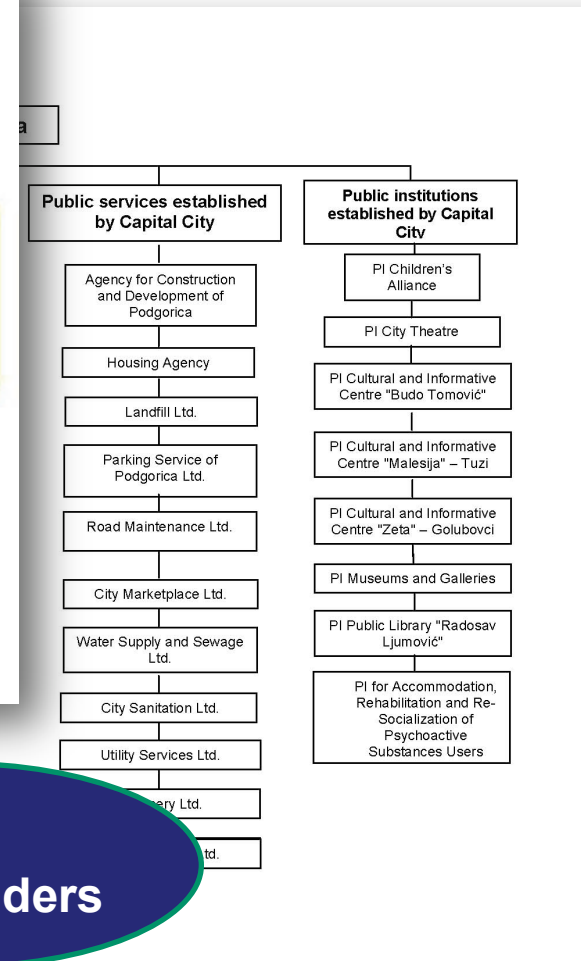
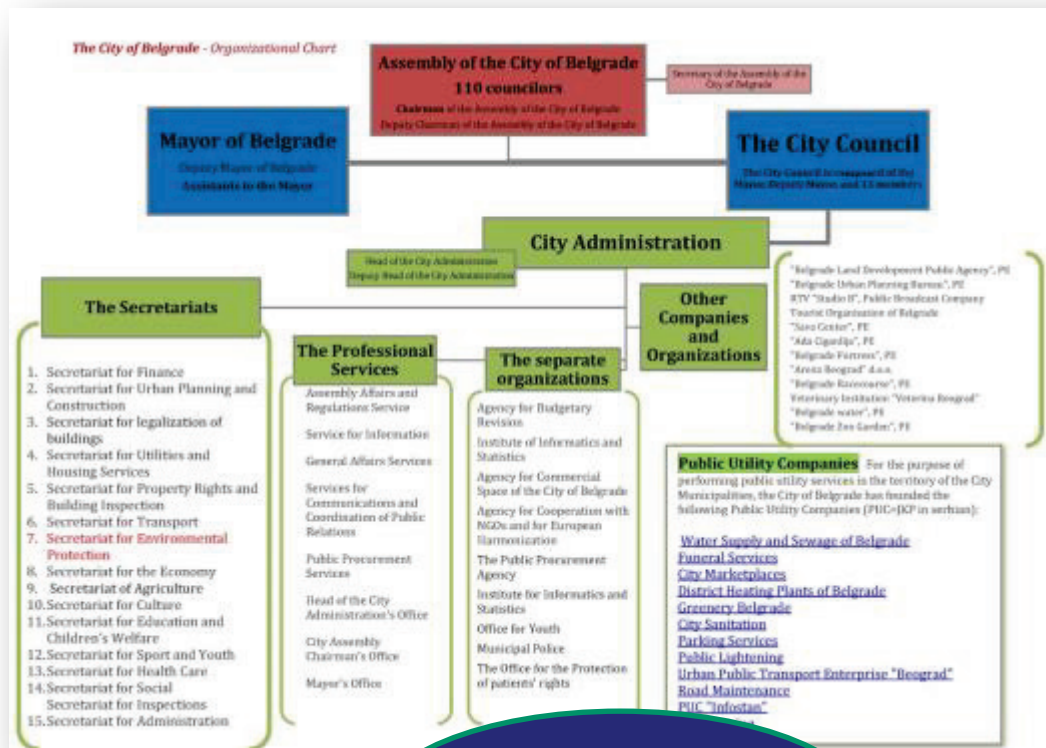
- “relevant” Public companies
- „relevant“ Private companies

## ✓ Natural resources



- Green urban planning
- Landscape planning
- Urban climate protection
- Water management (= see left)

## Who has to be involved in an adaptation strategy?



## Infrastructure providers

## Energy companies

## Diff. Stakeholders

## Economy / industry



# Who has to be involved in an adaptation strategy?

The City of Belgrade 1994-2001-2002

Assembly of the City of Belgrade  
110 councilors

Secretary of the Assembly of the  
City of Belgrade

Mayor of Belgrade  
Deputy Mayor of Belgrade

The City Council  
The City Council is composed of the

## Everyone who can

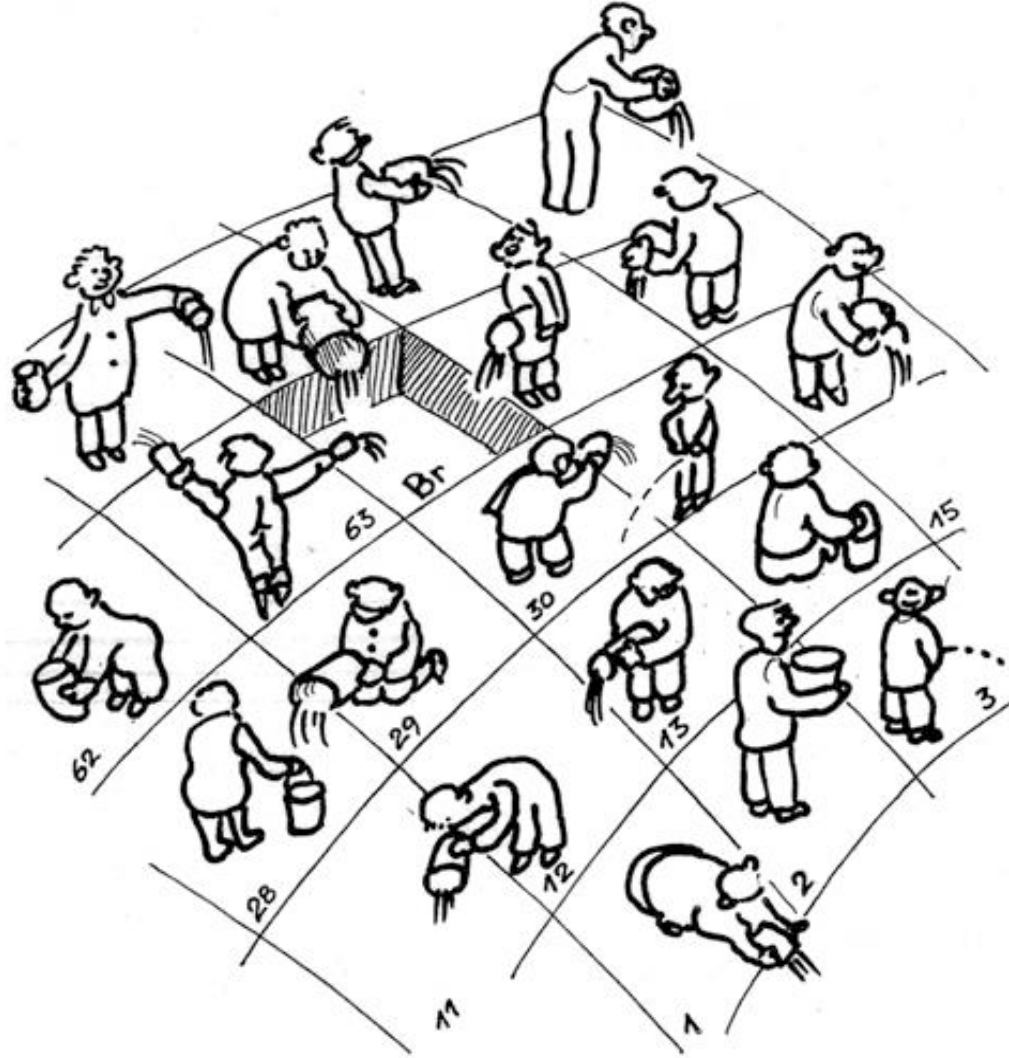
- contribute with data / information
- integrate CCA measures in the own processes
- provide „windows of opportunity“
- function as a „multiplier“ of CCA awareness

- Relevant departments of the administration
- Political back-up
- Infrastructure providers
- Economy / industry
- Stakeholders, environmental groups



Ask the question:  
*What will*  
**YOU CONTRIBUTE**  
**TO THE ADAPTATION**  
**ACTION PLAN?**

*Never ask:*  
**... what do need ...**



# Flood Risk Management plan SHKODËR REGION (Albania)











0 1 2  
Kilometer



#### Legend / legjenda

##### Infrastructure at flood risk / infrastruktura me rrezik nga përmbytjet

- power generation / prodhimi i energjisë
  - power distribution / shpërndarja e energjisë
  - gas station / stacioni gaz
  - water supply / furnizim me ujë
  - wastewater treatment / trajtim i ujërave të përdorura
  - waste disposal / depozitim mbetjesh
  - communication / komunikacion
- ##### Buildings at risk (hot spots) / ndërtesa të rrezikuara (pika kritike)
- healthcare center / qendër shëndetësore
  - school / shkollë
  - kindergarten / kopsht
  - churches, mosque / kishë, xhami
  - cemetery / varrezë
  - retirement homes / azil
  - fire department / zjarrfikëse
  - police station / rajoni policie
  - technical emergency, service / urgjencë teknike, shërbimi
  - other public buildings / ndërtesa të tjera publike

##### Transport infrastructure at flood risk / infrastruktura e transportit me rrezik nga përmbytjet

- important connections affected by floods /  
lidhje të rëndësishme të ndikuara nga përmbytjet
- bridges / urat
- airport, airfield / aeroporte

##### Further assets at risk / asete të tjera në rrezik nga përmbytjet

- cultural hot spots / pika kulturore
- industrial hot spots / pika industriale
- storage / depo, magazina
- livestock / blegtori
- customs / dogana
- dike / dige
- dike breached / plasante e digës
- embankment / argjinaturë
- channel / kanal
- affected housing / shtëpi të prekura

##### Boundaries / kufijtë

- communes, municipalities / komunë, bashki

##### Flood extent / shtirja e përmbytjes (2010)

- medium event / përmbytje mesatare (Jan.)
- extreme event / përmbytje ekstreme (Dec.)
- water level / niveli i ujit (Dec. 2010)
- hydrometric station / stacionet hidrologjike  
(source: GIZ report 2013)
- meteorological station / stacionet meteorologjike  
(source: GIZ report 2013)
- erosion risk / rreziku i erozionit (Dec. 2010)

Conditions at Ahtita power plant (schematic) based on planning data  
by Energi Ahtita 2014

Project: "Climate Change Adaptation in Western  
Balkans - Communal Flood Risk Management Plans"  
Projekt: "Përshtetja ndaj ndryshimeve klimatike në  
Ballkanin Perëndimor - Planet komunale për  
menaxhimin e rrezikut nga përmbytjet"  
Implemented by Deutsche Gesellschaft für  
Internationale Zusammenarbeit (GIZ) GmbH  
on behalf of Federal Ministry for Economic  
Cooperation and Development (BMZ)

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Albania

GIZ database partly by INTRIED  
Collaborative project, 7th Framework Programme,  
European Commission's Work Programme 2012

Date: 19.11.2014

Scale: 1:40,000 for A2 prints  
1:20,000 for A3 prints





# Flood Risk Map, Velipoje / Harta e rrezikut nga përmbytjet, Velipojë



REPUBLIKA E SHQIPËRIE  
PROJEKTI QARKUT DHE QARKUT



**karakteristikat e përgjithshme /  
general characteristics:**  
9.800 banorë / inhabitants,  
sipërfaqja / area of 72.4 km<sup>2</sup>

**të ndikuar gjatë përmbytjeve ekstreme /  
affected during extreme flood:**  
3.115 banorë / inhabitants,  
377 shtëpi / houses (177/377 shtëpi të  
shpëtuar pas rikonstruktimit të argjinueshës /  
save houses after dike reconstruction),  
250 ha moçalishte (zonë e mbrojtur) /  
marshland (protected area),  
700 ha të lashta (100 ha të mbrojtura nga argjinueshë)  
crops (100 ha protected by dike),  
600 ha foragjere (100 ha të mbrojtura nga argjinueshë)  
forage (100 ha protected by dike),  
200 ha kullota / fields,  
342 ha pyje / forestry

**rrugë emergjence jashtë përdorimit  
në jan & dhj 2010 /  
emergency road not usable  
in Jan. and Dec. 2010**

**stacioni i pompimit të kanalit pranë  
transformatorit të energjisë /  
pumping station of the channel  
next to power transformer**

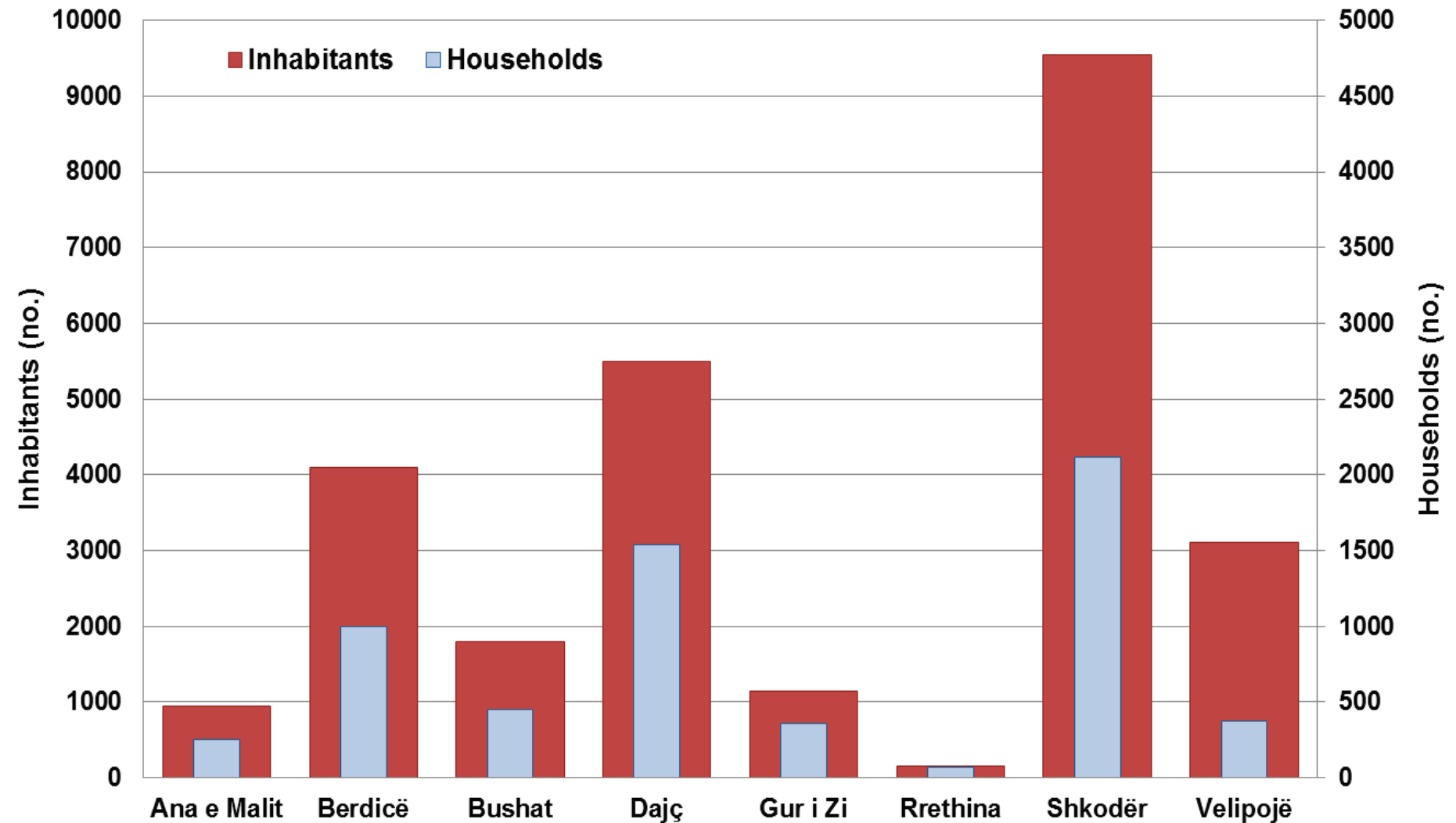
**zonë sporti /  
sport area**

**dike (height: 6 m),  
reconstructed after Dec. 2010**

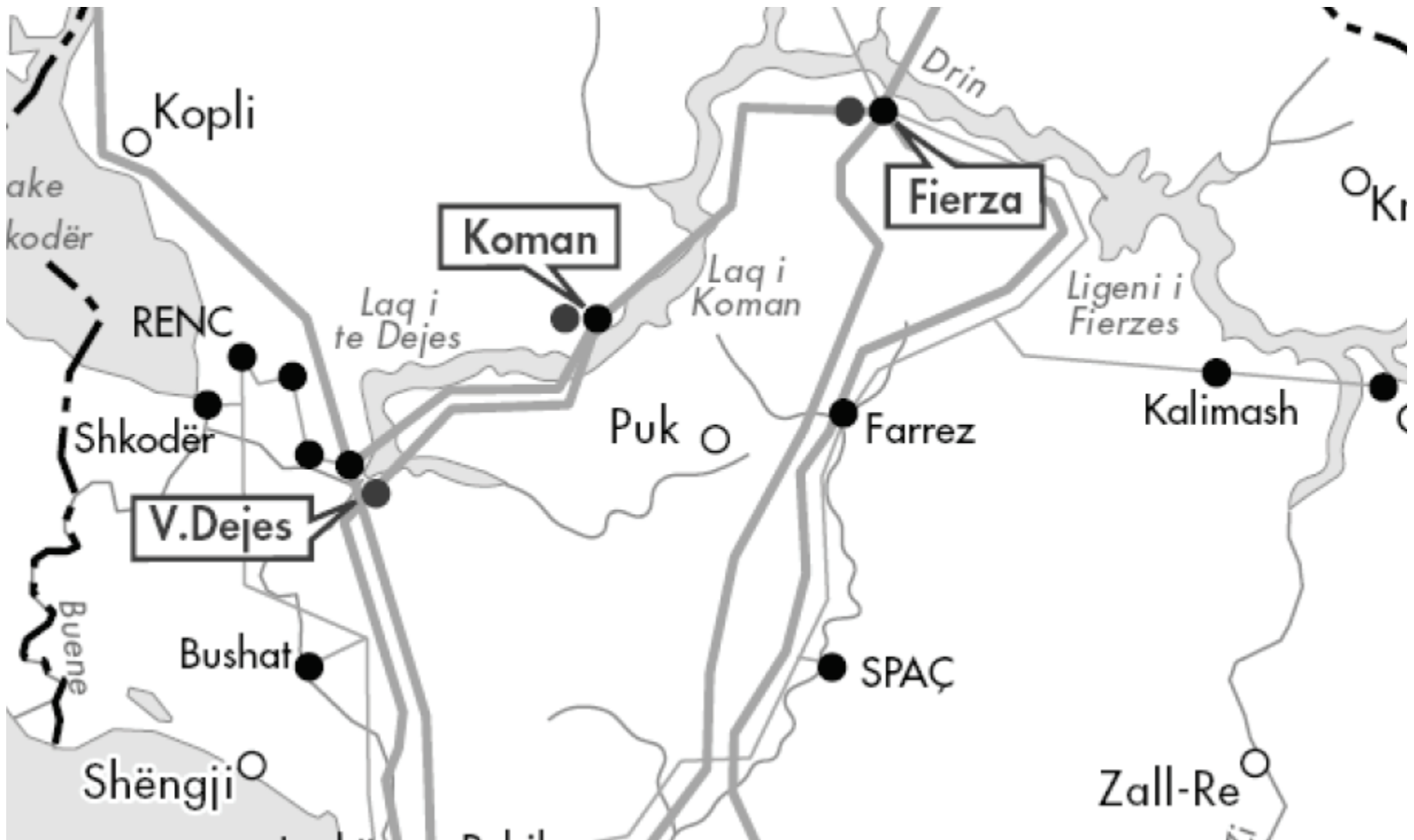
Ças  
30 shtëpi / houses,  
150 banorë të prekura /  
inhabitants affected



# Affected people and households (flood Dec 2010)



# Important Hydro Power Plants in northern Albania



# Energy production in Albania

**Table 1: Electricity production in South Eastern Europe in 2006, as % of total**

Country	Hydropower	Fossil fuel combustion	Nuclear
Albania	98	2	0
Bosnia and Herzegovina	44	56	0
Bulgaria	9	48	43
Croatia	49	51	0
Greece	10	88	0
Kosovo	0	100	0
FYR Macedonia	24	77	0
Montenegro	59	41	0
Romania	29	62	9
Serbia	30	70	0
TOTAL SEE	24	65	10

(World Bank, 2009a; International Energy Agency, 2009). Note: Grey highlights a dependence above 50 percent.



Fierza HPP  
and reservoir,  
built 1971-78,  
capacity 500 MW

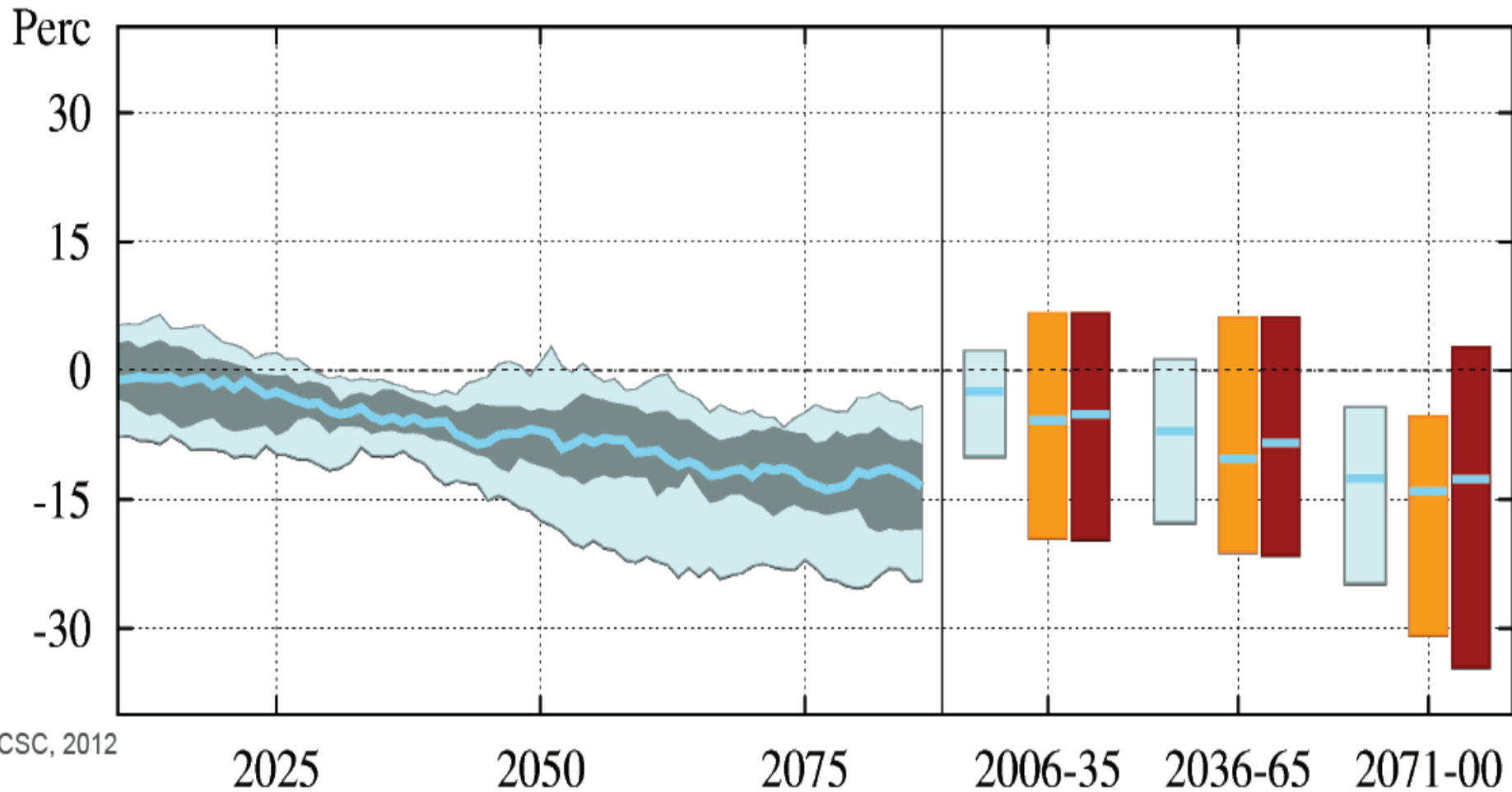
Komani HPP  
and reservoir  
built 1985  
capacity 600 MW

Vau Dejes HPP and reservoir  
built 1970-75, capacity 250 MW

Ashta 1 + 2 HPP river power plant  
built 2009-2012, capacity 53 MW

Drin River flow  
before 1848

# Projected precipitation for Albania (source: CSC 2012)



# Projected heavy rain events for Albania (source: CSC 2012)

in %	Variable	Measure	2006 to 2035	2036 to 2065	2071 to 2100
Change in Heavy rains*	Frequency	Median likely full range	0 -1 to 0 -2 to 0	0 -1 to 0 -2 to 0	-1 -2 to 0 -2 to 0
	Intensity	Median likely full range	4 1 to 5 -1 to 6	5 3 to 9 0 to 12	9 7 to 13 4 to 15



## Adaptation means for reservoirs:

1. Always enough water  
→ Prepared for droughts
  2. Never too much water  
→ Prepared for floods
- Reliable Forecast
  - Advanced procedures (floods / draughts)
  - Intensive cooperation with communes
  - Intensive cooperation with other stakeholders

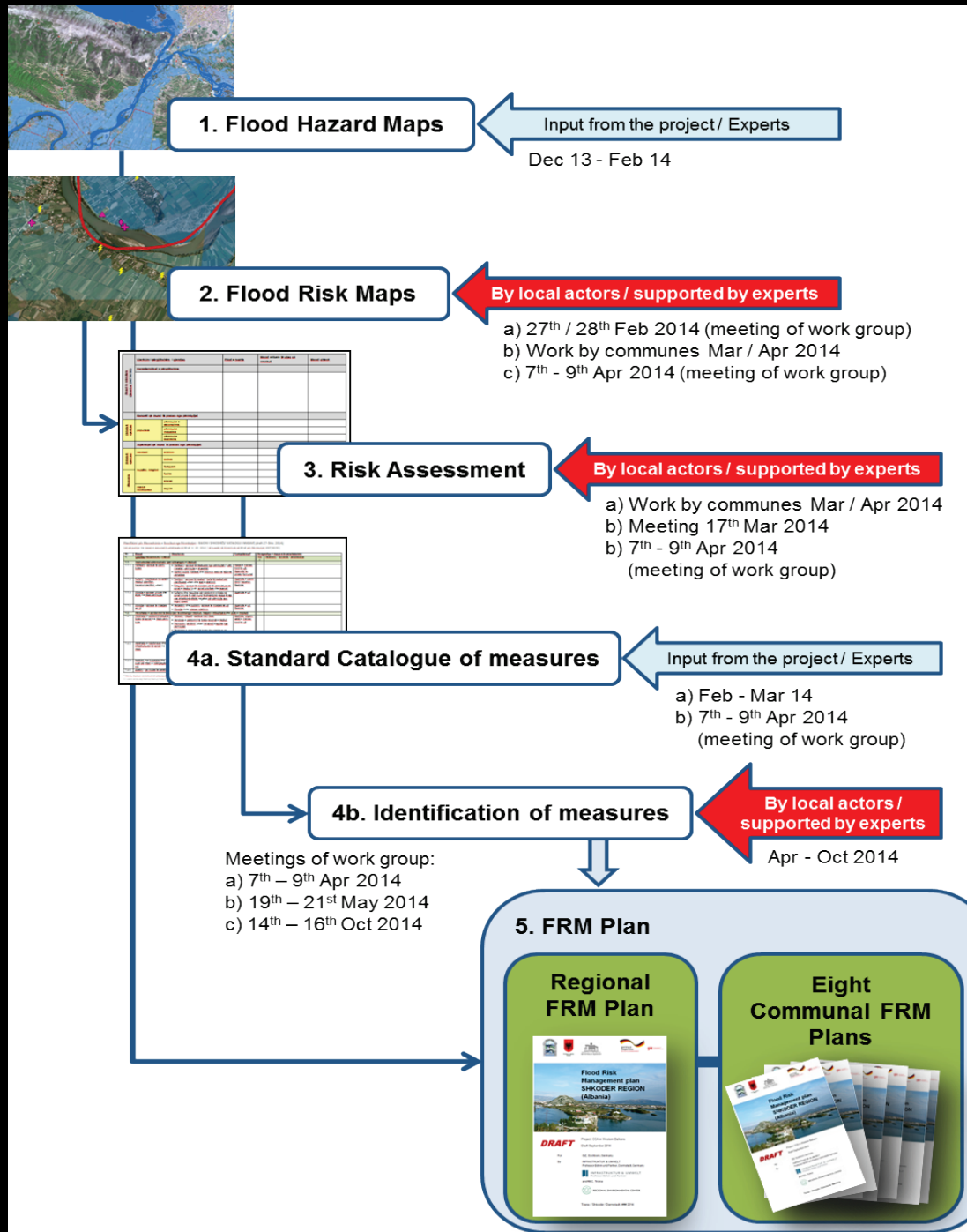
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Ashta 1 + 2 HPP river power plant  
built 2009-2012, capacity 53 MW

Before 1848



# Cooperation of responsible bodies in adaptation strategies: here Flood Risk Management Plan

## National Actors

Ministries in charge of

- Agriculture,
- Environment,
- Internal affairs
- Hydrometeorological Service

## Other involved Stakeholders

- UNDP,
- CIMA Foundation (INCREO),
- Construction Companies,
- University of Shkodër,
- Local NGOs

## Regional Actors

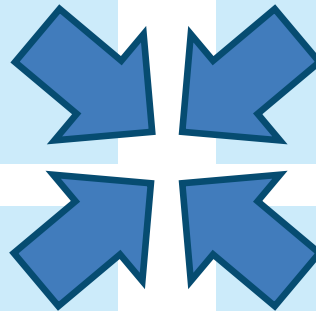
- Prefecture,
- Qark,
- Regional Directorate of Agriculture
- Regional Directorate of Forestry,
- Drainage Board,
- River Basin Agency,
- Regional Environmental Directorate,
- State Inspectorate of Environment, Forest and Water,
- **Hydro-Power Plants (KESH, Ashta)**

## Local Actors

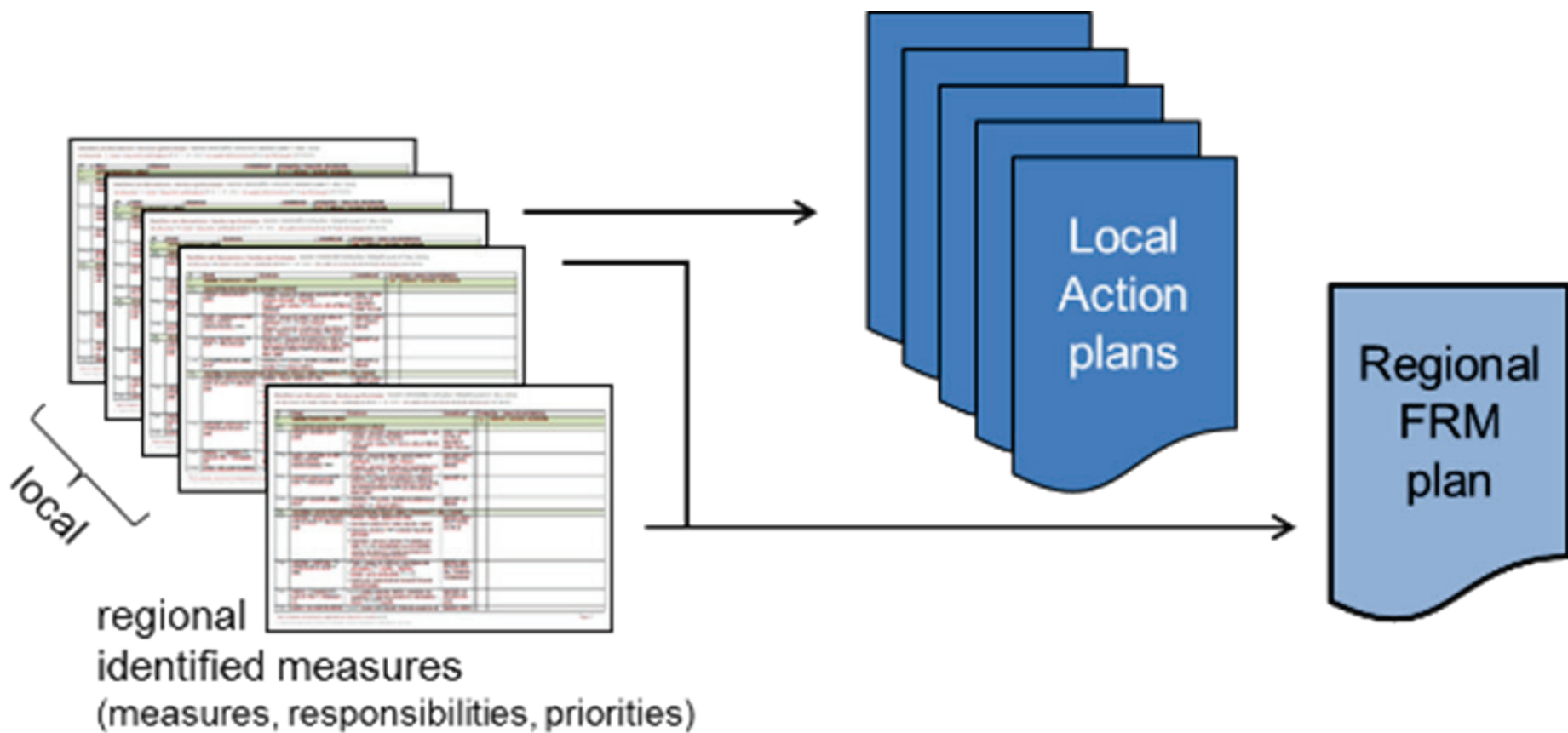
Dependent on the territorial reform:

Scenario 1 - 8 Communes  
(Ana e Malit, Berdicë, Bushat, Dajç, Gur i Zi, Rrethina, Shkodër, Velipojë)

Scenario 2 - 2 Municipalities  
(Shkodër and Vau Dejës)







# Regional Flood Risk Management Plan (FRM)

(FRM Shkodra Region = Regional action plan)

## Measures of regional actors

Prefecture

Qark

Drainage Board

River Basin Agency

Regional Directorates

State Inspectorate

HPP (KESH, Ashta)

## National actors / others / observers

Ministries in charge of Agriculture, Environment and Internal Affairs

National bodies

Hydromet Service

NGOs

University of Shkodër

CIMA Foundation (IncREO)

UNDP

Construction companies

## Communal Flood Risk Management Plans (= local action plans)

Shkodër

Berdicë

Bushat

Dajç

Gu i Zi

Rrethina

Ana e Malit

Velipojë



# Measures / actions of the FRM-plan



## Prevention of risks

1.1 Administrative Instruments

1.2 Adaptation of Land Use

1.3 Risk prevention by flood adapted building

## Natural Flood Protection

2.1 Natural flood management

2.2 Reactivation of retention areas

## Technical Flood Protection

3.1 Water Flow Regulation / Flood plain works

3.2 Water Flow Regulation (e.g. dikes)

3.3 Water Flow Regulation (e.g. river training)

3.4 Surface water management

3.5 Protection of object / facilities

3.6 Other protection measures

## Preparedness and information

4.1 Economical / financial preparedness

4.2 Informational preparedness

4.3 Behavior-related preparedness

4.4 Allowance, preparation, post-processing



Table 9: Administrative Instruments (for avoidance of risk)

1.1.1. Mapping of flood risk areas																			
Regional measures												Communal measures							
<ul style="list-style-type: none"><li>- Development and distribution of flood risk maps on regional scale</li><li>- Instructions for the use of risk maps in the community centers in the whole region</li><li>- Information campaigns (distribution of leaflets to the population) about flood risks, measures to be taken and flood emergency response (including public and private precautionary measures)</li></ul>												<ul style="list-style-type: none"><li>- Digital mapping (GIS)- development and update of database (for different purposes of urban and environmental planning, including FRM; based on GIS layers for land use, infrastructure etc.)</li><li>- Distribution of flood risk maps, flood hazard maps and emergency guidelines to communes (via community centers, leaflets and website)</li></ul>							
P	Q	DB	A <sub>ca</sub>	A	D <sub>a</sub>	D <sub>r</sub>	HP	CC	M	NB	An	Be	Bu	Da	Gu	Rr	Sh	Ve	
x	x										x	x	x	x	x	x	x	x	

1.1.2. Restriction for building in risk areas (in spatial planning / urban planning)																			
Regional measures												Communal measures							
<ul style="list-style-type: none"><li>- Review of existing local and regional urban development plans and integration of flood risk aspects</li><li>- Systematic communication with communes about developments in flood risk areas</li><li>- Support of LGUs in taking action against illegal construction in risk areas</li><li>- Distribution / explanation of risk areas to urban planners (seminars, university lectures, workshops)</li></ul>												<ul style="list-style-type: none"><li>- Review of existing local development plans and integration of flood risk aspects; adjust local development plans to flood risk areas</li><li>- Distribution / explanation of risk areas to urban planners</li><li>- Stronger restrictions for illegal building development (especially in risk areas)</li><li><u>Reethina</u></li><li>- Support in inhabitants, who had built illegal constructions, to move from flood risk areas. (information, consultation, support in finding land) (Q)</li></ul>							
P	Q	DB	A <sub>ca</sub>	A	D <sub>a</sub>	D <sub>r</sub>	HP	CC	M	NB	An	Be	Bu	Da	Gu	Rr	Sh	Ve	
x	x				x					x	x	x	x	x	x	x	x	x	

1.1.3. Protection of flood plains and retention areas																			
Regional measures												Communal measures							
<ul style="list-style-type: none"><li>- Draw and establish specific monitoring /</li></ul>												<ul style="list-style-type: none"><li>- Public information about importance of flood</li></ul>							

Table 21: Other protection measures

### 3.6.1. Improvement of the storage management of dammed river systems / reservoirs

#### Regional measures

- Improve the current regulations, instructions for Hydro Power Plants (HPP) and Reservoirs
- Introduction of new standards for communication (exchange of information in situations of heavy rainfall)
- Early warning of discharge and notification of appropriate structures and community at risk
- Training and seminars on rules of discharge and critical levels with head of HPP, LGUs

#### Communal measures

-

P	Q	DB	ARB	A	DA	DR	HP	CC	M	NB	An	Be	Bu	Da	Gu	Rr	Sh	Ve
							X		X	X								

## Lessons learnt (success factors, bottlenecks ...)

- All involved partners need to agree at the begin:
  - CCA is a cross cutting task.
  - Everyone needs to do something in his/her responsibility
- The process needs a strong coordinator; but there can't be one "realizer"
- Cooperation / Involvement starts from the begin on; start with joint understanding of CC-impacts, trends, CCA-objectives ...
- Do not wait forever for more data and more certainty: START with cooperation! Start with what you have.
- Make clear that adaptation measures are not something completely NEW or ADDITIONAL: in many cases they IMPROVE ongoing activities.
- ...





**Expert Training on Risk and Vulnerability Assessment and  
Adaptation Planning**

# **Cooperation and coordination among authorities**

**Dr.-Ing. Peter Heiland; Darmstadt, Germany**