

Introduction Human Health Risk Assessment - General

Ike van der Putte



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Project implemented by Human Dynamics Consortium

Basic Toxicology and Risk Assessment

Ref. ECRAN IED/Chemicals WG
Montenegro
13-15 May 2014



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Chemicals & Hazards



explosive



corrosive



environmental danger



flammable



oxidative



toxic

hazardous



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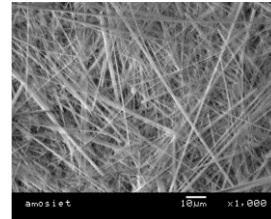
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Chemicals and Toxicity



Paracelsus: "Dosis Sola Facit Venenum"
(it is the dose which makes the poison)

Substance	LD50 (mg/kg bw)
Ethanol	7000
Sodium chloride	3000
Cupric sulphate	1500
DDT	100
Nicotine	60
Tetrodotoxin	0.02
Dioxin (TCDD)	0.02



Asbestos

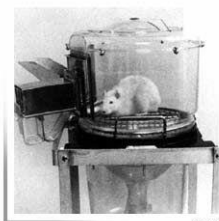
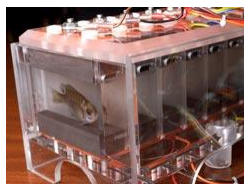


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VARIOUS TEST SYSTEMS AND ANIMALS



PETA



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Case determine LC50

Dose (mg/l)	% alive	% mortality
0	100	
1.9	90	
2.69	84	
4.28	62	
8.51	16	
15	0	



Step:

1. Draw graphic

2. Read out the LC50

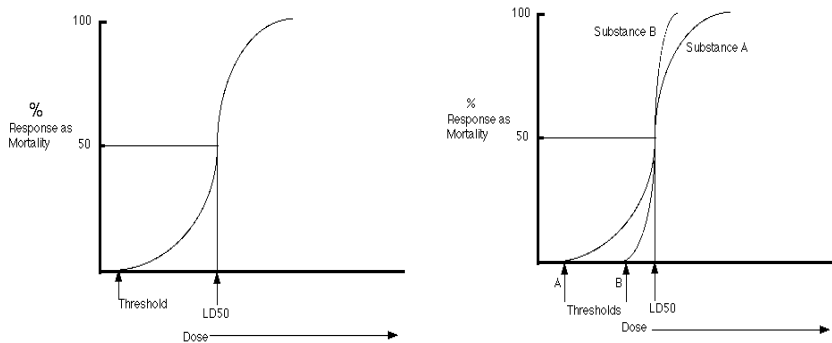


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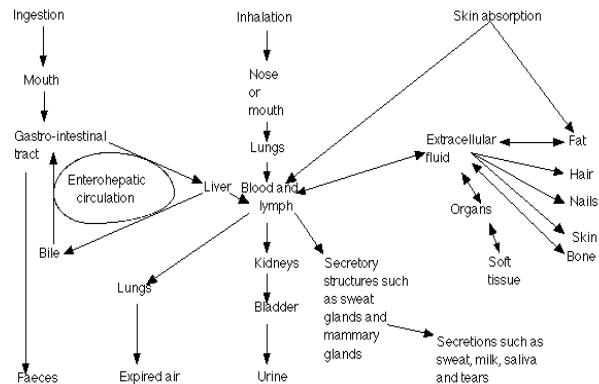


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The Relationship of Dose or Concentration of a Toxicant to the Response Produced in Terms of Mortality



Routes of absorption, distribution and excretion of potentially toxic substances



Accidents & chemicals

Minamata - MeHg

Bhopal- MIC

Seveso- TCP/Dioxins

Basel (Sandoz)- pesticides

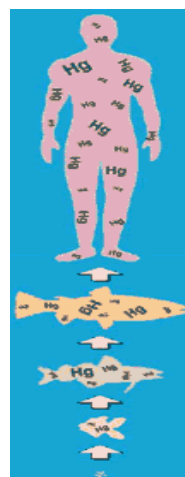
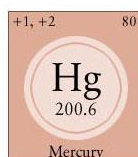


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Minamata disease

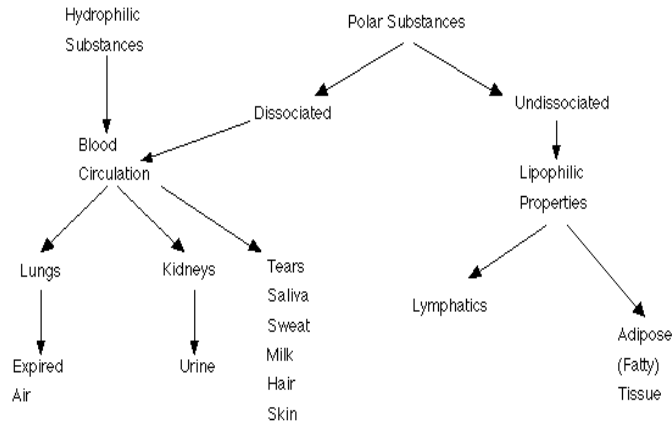


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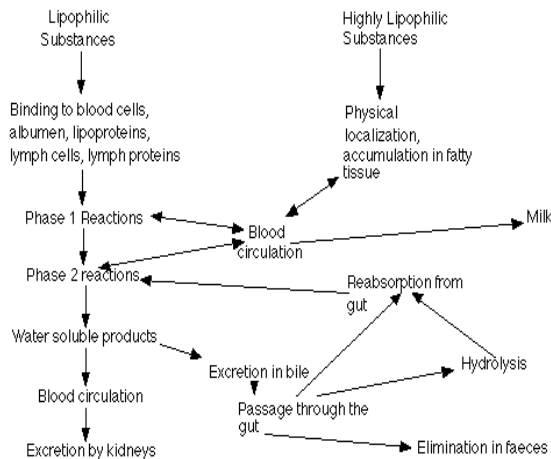
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Distribution and excretion of potentially toxic substances which are hydrophilic or polar

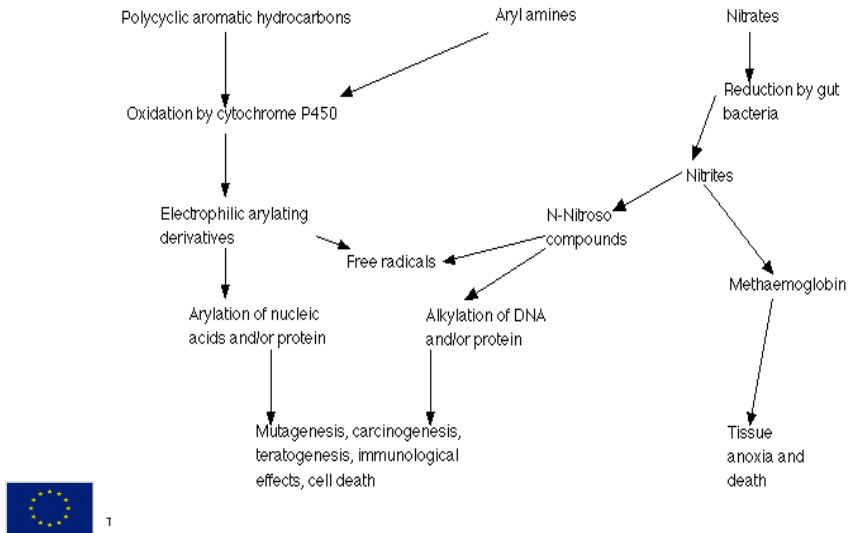


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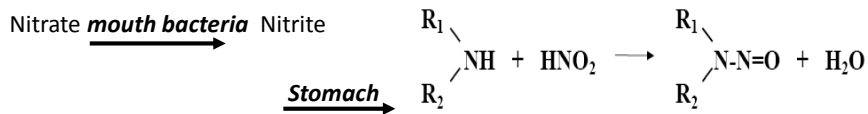
Distribution and excretion of potentially toxic substances which are lipophilic



Examples of biotoxification



NITRATE/NITRITE/NITROSAMINES



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“Lethal Synthesis (rat poison-fluoro-acetic acid)”

Fluoroacetic acid (ingested)



Fluorocitric acid



Inhibition of aconitase



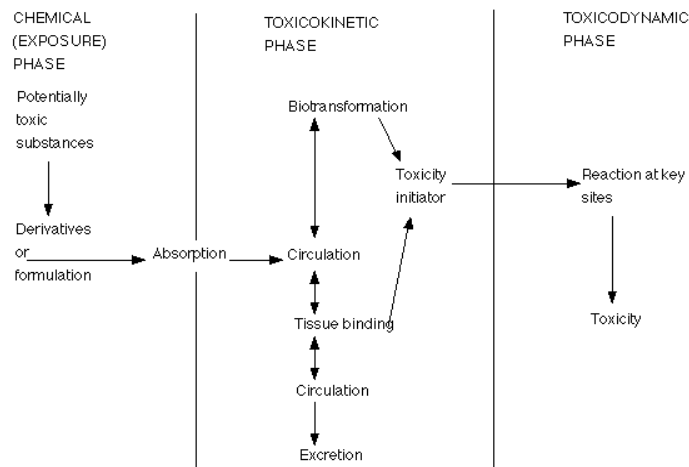
Citric acid cycle block



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Phases in the production of toxicity

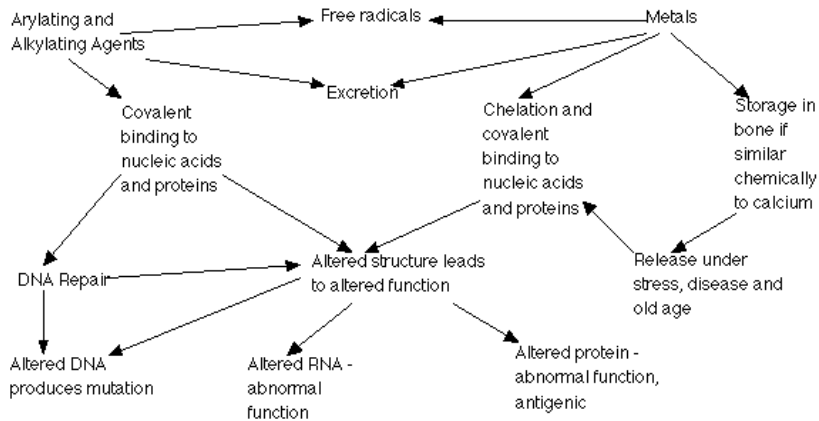


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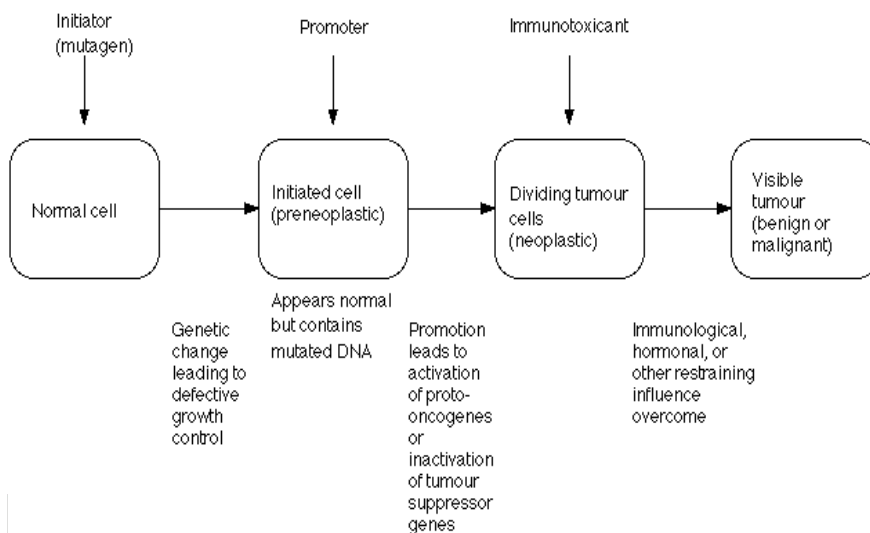


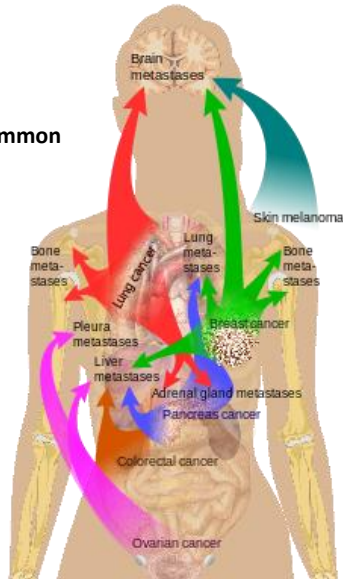
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Main steps in biotransformation of potentially toxic substances which are arylating or alkylating agents or metals



Steps in the development of tumors



Metastases for common cancer types

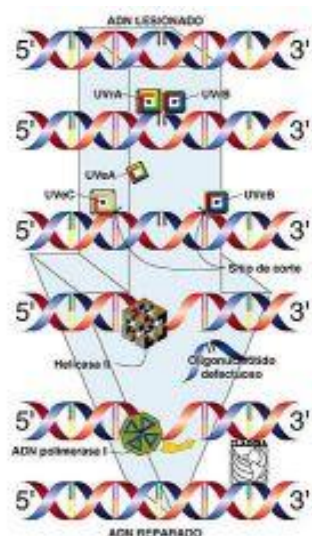
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EXCISION REPAIR MECHANISM**DNA lesions**

(missing enzyme: example people suffering from Xeroderma Pigmentosum)



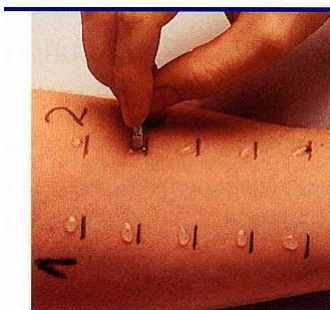
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Allergic reactions

chemical combine with proteins allergen antibodies



Stasis dermatitis showing erythema, scaly, and crusting patches over the lower leg. Several stasis ulcers are also seen in the joint.



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Interactions in toxicity

1 + 1 = 2, 1 + 5 = 6 (additive: eg. organophosphate pesticides)

**1 + 1 = 4, 1 + 5 = 10 (synergistic: eg. asbestos and cigarette smoke
increase risk of lung cancer with factor 40)**

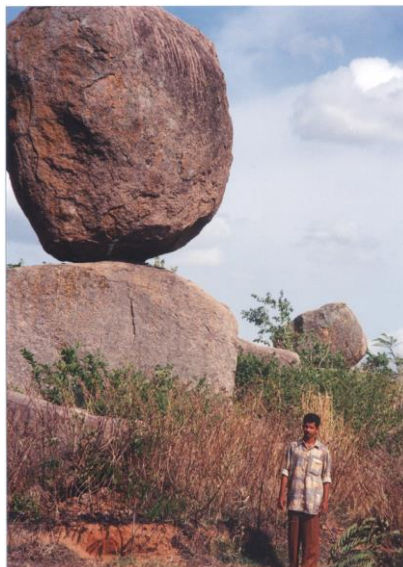
1+1=0, 1+5= 2 (antagonism: eg. some metals)



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HAZARD and RISK

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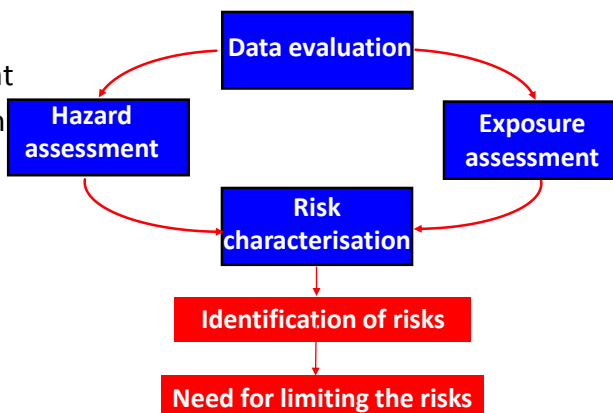
Principles of Health Risk assessment

Data evaluation: hazard identification

Hazard assessment

Exposure assessment

Risk characterisation



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Hazard identification - step 1

Hazards include:

- Physico-chemical hazards (main hazards are fire and explosion in this group)
- Hazards to health (divided in acute and chronic effects; local and systemic effects and; reversible and irreversible effects).

For example, skin irritation is an acute, local, reversible effect, whereas liver cancer is chronic, systemic and irreversible



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Hazard/Effects Assessment - step 2

Effects human health

- ✓ acute toxicity;
- ✓ irritation;
- ✓ corrosivity;
- ✓ sensitisation;
- ✓ repeated dose toxicity;
- ✓ mutagenicity;
- ✓ carcinogenicity;
- ✓ toxicity for reproduction.

Laboratory NELs converted to DNELs
by applying “uncertainty factors” (range 10 - 10,000)



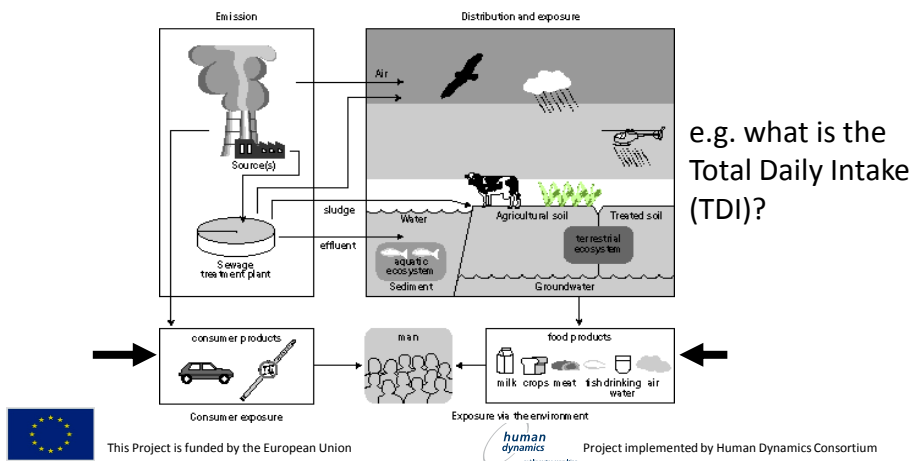
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Exposure Assessment - step 3.1

1. Consumers
2. Human exposure via the environment



Exposure Assessment - step 3.2

3. Workers (occupational exposure)



e.g. What is the exposure concentration
8-hr TWA in mg/m³
as percentage of the TLV, PEL or MAK)?



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Risk Characterization - step 4

Risk characterization is the estimation of the incidence and severity of the adverse effects likely to occur in the human population due to actual or predicted exposure.

= integration of step 1-3

When risk is not negligible (eg TDI higher than 0.1(NEL or DNEL))

→ Acceptable, tolerable or not?

Is 1 in 50 000 risk of death each year acceptable,
or should it be 1 in 100 000, or more?

= Risk Management ←



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EU regulatory framework (REACH/CLP)

INTRODUCTION Human Health Risk Assessment

(REACH Guidance)



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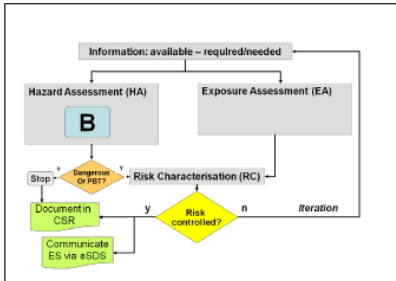
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**Guidance on
information requirements and
chemical safety assessment**
Part B: Hazard Assessment

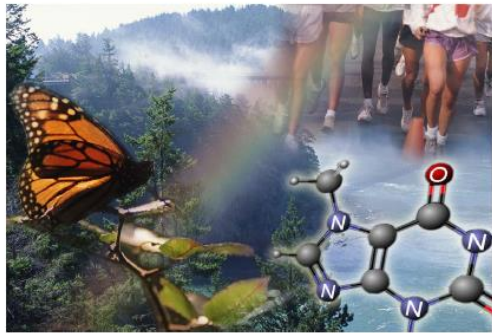


May 2008

Guidance for the implementation of REACH

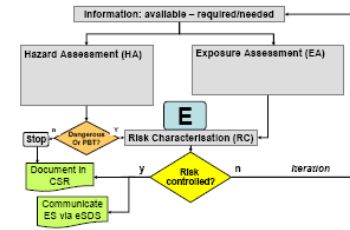


**Guidance on
information requirements and
chemical safety assessment**
Part E: Risk Characterisation



May 2008

Guidance for the implementation of REACH



Project implemented by human dynamics Consortium

Steps in the hazard assessment

- Step 1: Evaluation of non-human information
- Step 2: Evaluation of human information
- Step 3: Classification and Labelling
- Step 4: Derivation of DNELs* (or DMELs*)

- DNEL: Derived No Toxic Effect Level*
- DMEL: Derived Minimal Effect Level
(for non-threshold Carcinogens)*



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Information Gathering and Evaluation

- Step 1: Gather and share existing information
- Step 2: Consider information needs
- Step 3: Identify information gaps
- Step 4: Generate new information or propose a testing strategy

Information sources

- *in-house company and trade association files (including test data)*
- *databanks and databases of compiled data*
- *agreed data sets such as the OECD HPV Chemicals Program*
- *published literature*
- *internet search engines and relevant websites*
- *(Q)SAR models (Section R.6.1)*
- *data sharing in the substance information exchange forum (SIEF)*



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Reliability of data


Klimisch code (scoring system) for 4 categories

1. Reliable without restrictions
2. Reliable with restrictions
3. Not reliable
4. Not assignable

*New toxicology and ecotoxicology tests must be based on GLP
(OECD and EU protocols)*

Others must be carefully evaluated



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Registrations requirements (1)

- Registration dossier:
 - ≥1 tonne: Technical dossier
 - ≥10 tonnes: with Chemical Safety Report (CSR)
- Information requirements:
 - 1-10 tonnes: available phys-chem, tox and ecotox information + Phys-chem properties in Annex VII (full Annex VII for substances meeting Annex III criteria)
 - 10-100 tonnes: Annex VII & VIII
 - 100-1000 tonnes: Annex VII & VIII; test proposals for information in Annex IX
 - ≥ 1000 tonnes: Annex VII & VIII; test proposals for information in Annex IX & X



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Manufacture/import of 1 tonne or more/year

- State of the substance at 20 oC and 101,3 kPa
- Melting/freezing point (Section R.7.1.2)
- Boiling point (Section R.7.1.3)
- Relative density (Section R.7.1.4)
- Vapour pressure (Section R.7.1.5)
- Surface tension (Section R.7.1.6)
- Water solubility (Section R.7.1.7)
- Partition coefficient in-octanol/water (Section R.7.1.8)
- Flash-point (Section R.7.1.9)
- Flammability (Section R.7.1.10)
- Explosive properties (Section R.7.1.11)
- Self-ignition temperature (Section R.7.1.12)
- Oxidising properties (Section R.7.1.13)
- Granulometry (Section R.7.1.14)

**Physico-chemical
properties****Manufacture/import of 100 tonnes or more/year**

- Stability in organic solvents and identity of relevant degradation products (only if stability of the substance is considered to be critical)
- Dissociation constant (Section R.7.1.17)
- Viscosity (Section R.7.1.18)

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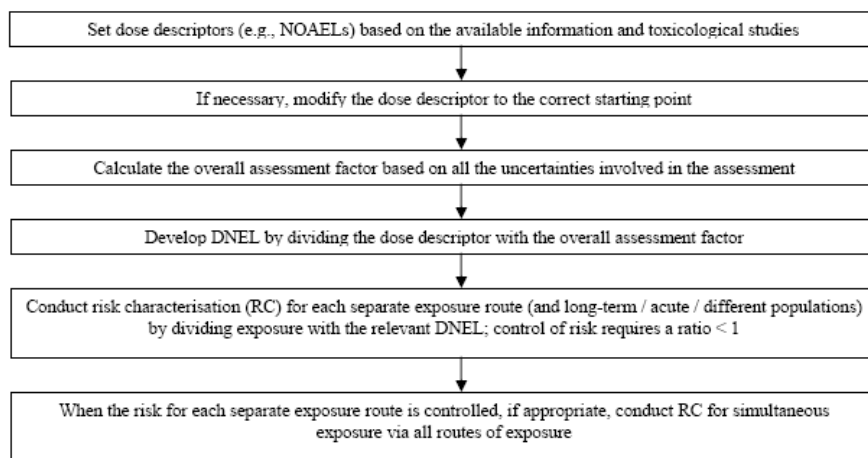
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European Commission - DG Environment		
Registration Requirements (2)		
	Health	Environment
1-10t prioritised	<input type="checkbox"/> <i>In vitro</i> skin and eye irritation <input type="checkbox"/> Skin sensitisation <input type="checkbox"/> <i>In vitro</i> mutagenicity <input type="checkbox"/> Acute toxicity (one route)	<input type="checkbox"/> Acute aquatic toxicity – Daphnia <input type="checkbox"/> Biodegradation – biodegradability and hydrolysis <input type="checkbox"/> Acute aquatic toxicity – Algae
10-100t	<input type="checkbox"/> <i>In vivo</i> skin and eye irritation <input type="checkbox"/> Further <i>in vitro</i> mutagenicity <input type="checkbox"/> Sub acute toxicity (28 days) <input type="checkbox"/> Reproductive toxicity screen	<input type="checkbox"/> Acute aquatic toxicity – Fish <input type="checkbox"/> Activated sludge <input type="checkbox"/> Adsorption/desorption screening
100-1000t	<input type="checkbox"/> Further mutagenicity tests <input type="checkbox"/> Sub-chronic toxicity (90-days) <input type="checkbox"/> Further reproductive toxicity tests	<input type="checkbox"/> Long term aquatic toxicity daphnia and fish <input type="checkbox"/> Further degradation and fate/behaviour studies <input type="checkbox"/> Short term effects on terrestrial organisms
>1000t	<input type="checkbox"/> Further mutagenicity tests <input type="checkbox"/> Carcinogenicity <input type="checkbox"/> Chronic toxicity <input type="checkbox"/> Further reproductive toxicity tests	<input type="checkbox"/> Further degradation and fate/behaviour studies <input type="checkbox"/> Long term effects on terrestrial organisms

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Illustration of the different steps of the quantitative human health risk assessment for threshold endpoints



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How to derive DNEL(s)

1. Identifying dose descriptors and deciding on mode of action

As part of the evaluation of the toxicity studies, dose descriptors (e.g., NOAEL, NOAEC, BMD, LD50, LC50, T25) should be identified for the endpoint concerned.

If no threshold mode of action (eg. Geno-toxic carcinogen)

—————→ **DNEL derivation**



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How to derive DNEL(s)

2. Application of Assessment Factors (AFs)

To compensate for uncertainties (intra-/interspecies, Quality, exposure duration etc.)

$$\text{Endpoint-specific DNEL} = \frac{NOAEL_{COY}}{AF_1 * AF_2 * \dots * AF_n} = \frac{NOAEL_{COY}}{\text{Overall AF}}$$



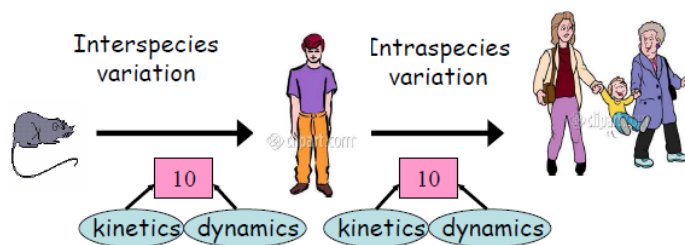
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Basic assessment factors



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Local vs Systemic Health Effects

A **Local effect** refers to an adverse health effect that takes place at the point or area of contact. The site may be skin, mucous membranes, the respiratory tract, gastrointestinal system, eyes, etc. Absorption does not necessarily occur.

Examples: strong acids or alkalis.

Systemic effect refers to an adverse health effect that takes place at a location distant from the body's initial point of contact and presupposes absorption has taken place.

Examples: arsenic effects to the blood, nervous system, liver, kidneys and skin; benzene effects to the bone marrow.



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How to derive DNEL(s)

3. Select the leading health effect(s) for relevant exposure patterns

The critical DN(M)EL, used for the (semi-)quantitative risk characterisation, should be the lowest DN(M)EL obtained for the relevant combination of population/route/exposure pattern.

For both **acute and long-term local effects**, DNELs may need to be set for workers and the general population exposed via the dermal and inhalation routes (i.e., four local DNELs).

For **systemic, long-term effects**, long-term DNELs are needed for worker dermal and inhalation exposure routes.

Additionally, three long-term DNELs may need to be set for the general population (dermal, oral and/or inhalation) (ref consumer products and environmental contaminants)



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Risk characterisation ratios (RCRs)

$RCR = \text{Exposure} / \text{DNEL}$

If Exposure < DNEL → Risk is adequately controlled

If Exposure > DNEL → Risk is NOT controlled

Environment Human health

$$RCR = \frac{PEC}{PNEC} \text{ or } \frac{Exposure}{DNEL}$$



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Risk Assessment under REACH

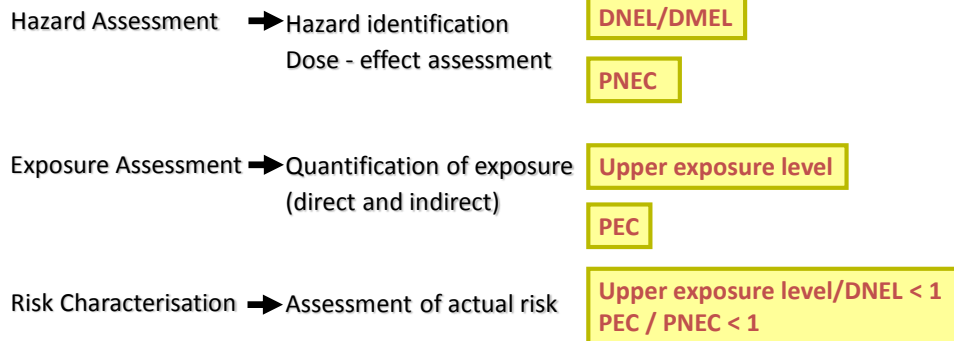


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Basic risk assessment principles



* *DNEL: Derived No Toxic Effect Level*
 * *DMEL: Derived Minimal Effect Level (for non-threshold Carcinogens)*
 * *PNEC: Predicted No Effect Concentration (for the environment)*



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Steps in Hazard Assessment

Step 1: Evaluation and integration of available information

Step 2: Classification and Labelling

Step 3: Derivation of the hazard threshold levels for human and the environment (DNEL/DMEL, PNEC)



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Hazard Assessment

- Step 1: Evaluation and integration of available information

- Registration dossier:
 - ≥ 1 tonne: Technical dossier
 - ≥ 10 tonnes: with Chemical Safety Report (CSR)
- Information requirements:
 - 1-10 tonnes: available phys-chem, tox and ecotox information + Phys-chem properties in Annex VII (full Annex VII for substances meeting Annex III criteria)
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 - ≥ 1000 tonnes: Annex VII & VIII; test proposals for information in Annex IX & X



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REACH Registration Requirements

Tonnage	Physico-chemical properties	Tonnage	Health	Environment
≥ 1 t/y	State of the substance at 20 °C and 101,3 kPa Smelting/freezing point Boiling point Relative density Vapour pressure Surface tension Water solubility Partition coefficient in-octanol/water Flash-point Flammability Explosive properties Self-ignition temperature Oxidising properties Granulometry	1-10 t/y prioritised	In vitro skin and eye irritation Skin sensitisation In vitro mutagenicity Acute toxicity (one route)	Acute aquatic toxicity-Daphnia Biodegradation-biodegradability and hydrolysis Acute aquatic toxicity-Algae
		10-100 t/y	In vivo skin and eye irritation Further in vitro mutagenicity Sub acute toxicity (28 days) Reproductive toxicity screen	Acute aquatic toxicity-Fish Activated sludge Adsorption/desorption screening
		100-1000 t/y	Further mutagenicity tests Sub-chronic toxicity (90 days) Further reproductive toxicity tests	Long term aquatic toxicity daphnia and fish Further degradation and fate/behaviour studies Short term effects on terrestrial organisms
≥ 100 t/y	Stability in organic solvents and identity of relevant degradation products Dissociation constant Viscosity	> 1000 t/y	Further mutagenicity tests Carcinogenicity Chronic toxicity Further reproductive toxicity tests	Further degradation and fate/behaviour studies Long term effects on terrestrial organisms

Step 1: Evaluation and integration of available information

Information Gathering

- 1: Gather and share existing information
- 2: Consider information needs
- 3: Identify information gaps
- 4: Generate new information or propose a testing strategy

Information sources

- ✓ *in-house company and trade association files (including test data)*
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- ✓ *(Q)SAR models (Section R.6.1)*
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Step 1: Evaluation and integration of available information

Evaluation of available information

- ✓ Relevance
- ✓ Reliability

Klimisch code (scoring system) for 4 categories

- 1 Reliable without restrictions
- 2 Reliable with restrictions
- 3 Not reliable
- 4 Not assignable

- ✓ Adequacy
 - Test data
 - Non-testing data
 - Human data

✓ *New toxicology and ecotoxicology tests must be based on GLP (OECD and EU protocols)*

✓ *Others must be carefully evaluated*

The weight of evidence (WoE) approach

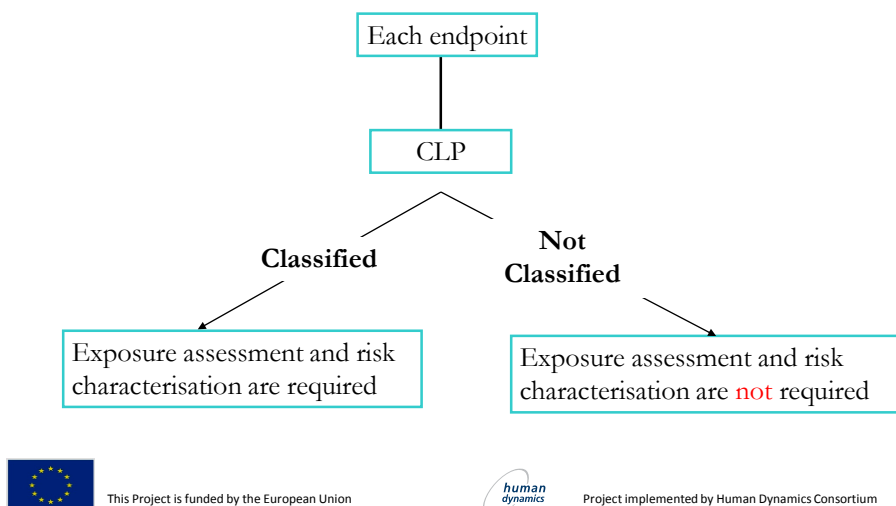


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Step 2: Classification and Labelling



Step 3: Derivation of the hazard threshold levels - DNEL(s)/DMEL

1. Identifying dose descriptors and deciding on mode of action

As part of the evaluation of the toxicity studies, dose descriptors (e.g., NOAEL, NOAEC, BMD, LD50, LC50, T25) should be identified for the endpoint concerned.

➔ DMEL derivation

If no threshold mode of action (eg. Geno-toxic carcinogen)

2. Application of Assessment Factors (AFs)

To compensate for uncertainties (intra-/interspecies, Quality, exposure duration etc.)

$$\text{Endpoint-specific DNEL} = \frac{NOAEL_{\text{COIT}}}{AF_1 * AF_2 * \dots * AF_n} = \frac{NOAEL_{\text{COIT}}}{\text{Overall AF}}$$



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Step 3: Derivation of the hazard threshold levels - DNEL(s)/DMEL

Local vs Systemic Health Effects

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Step 3: Derivation of the hazard threshold levels - DNEL(s)/DMEL

3. Select the leading health effect(s) for relevant exposure patterns

The critical DN(M)EL, used for the (semi-)quantitative risk characterisation, should be the lowest DN(M)EL obtained for the relevant combination of population/route/exposure pattern.

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For **systemic, long-term effects**, long-term DNELs are needed for worker dermal and inhalation exposure routes.

Additionally, three long-term DNELs may need to be set for the general population (dermal, oral and/or inhalation) (ref consumer products and environmental contaminants)



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Step 3: Derivation of the hazard threshold levels - PNEC

$$PNEC_{comp} = \frac{\text{Min} \{EC_{comp}\}}{AF}$$

The lowest valid effect concentration for organisms from the compartment i.e. EC50 or LC50, EC10/NOEC

Assessment factor



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Step 3: Derivation of the hazard threshold levels - PNEC

Data set	Assessment factor (AF)
Lowest short-term L(E)C50 from freshwater or saltwater representatives of three taxonomic groups (algae, crustaceans and fish) of three trophic levels	10,000
Lowest short-term L(E)C50 from freshwater or saltwater representatives of three taxonomic groups (algae, crustaceans and fish) of three trophic levels, + two additional marine taxonomic groups (e.g. echinoderms, molluscs)	1000
One long-term result (e.g. EC10 or NOEC) (from freshwater or saltwater crustacean reproduction or fish growth studies)	1000
Two long-term results (e.g. EC10 or NOEC) from freshwater or saltwater species representing two trophic levels (algae and/or crustaceans and/or fish)	500
Lowest long-term results (e.g. EC10 or NOEC) from three freshwater or saltwater species (normally algae and/or crustaceans and/or fish) representing three trophic levels	100
Two long-term results (e.g. EC10 or NOEC) from freshwater or saltwater species representing two trophic levels (algae and/or crustaceans and/or fish) + one long-term result from an additional marine taxonomic group (e.g. echinoderms, molluscs)	50
Lowest long-term results (e.g. EC10 or NOEC) from three freshwater or saltwater species (normally algae and/or crustaceans and/or fish) representing three trophic levels + two long-term results from additional marine taxonomic groups (e.g. echinoderms, molluscs)	10



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Exposure Assessment

- The exposure should, where possible, be described using both **reasonable worst-case** and **typical exposures**
- Actual exposure measurements
- Exposure estimates should be developed by collecting **all necessary information**
- In carrying out the exposure estimation the risk reduction/control measures (RMMs) that are **already** in place **should be taken into account**



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Exposure estimation with measurements and modelling approaches - Occupational Exposure Estimation

Tier 1:

- ✓ ECETOC Targeted Risk Assessment (ECETOC TRA) tool
- ✓ Easy-to-use workplace control scheme for hazardous substances (EMKG/BauA-COSHH) www.baua.de

Higher Tier:

Currently no validated higher Tier exposure tools

- ✓ Stoffenmanager exposure model (Netherlands)
- ✓ RISKOFDERM dermal model
- ✓ Advanced tool for occupational exposure assessment



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Exposure estimation with measurements and modelling approaches - Consumer Exposure Estimation

Tier 1:

ECETOC TRA Consumer tool

Lower tier:

ConsExpo computer tool www.consexpo.nl

Higher tier:

Advanced refinements for ECETOC TRA consumer tool

Others:

US EPS E-Fast model

Web-based GExFRAME system

<http://gexframe.jrc.ec.europa.eu/Default.aspx>



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Exposure estimation with measurements and modelling approaches - Environmental Exposure Estimation

EUSES <http://ecb.jrc.it/euses> - Tier 1 assessment

TGD excel sheet (EU TGD 2003 Risk Assessment Spreadsheet Model) – Tier 1 and higher Tiers

Others:

- ✓ FOCUS-models (surface water, agricultural soil)
- ✓ CHARM (offshore installation e.g. drilling and production chemicals, or completion/workover)
- ✓ Emission scenario documents for biocides (ESDs)
<http://ecb.jrc.it/biocides/>



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Risk characterisation ratios (RCRs)

Environment Human health

$$RCR = \frac{PEC}{PNEC} \text{ or } \frac{Exposure}{DNEL}$$

If $RCR < 1 \rightarrow$ Risk is adequately controlled

If $RCR > 1 \rightarrow$ Risk is **NOT** controlled



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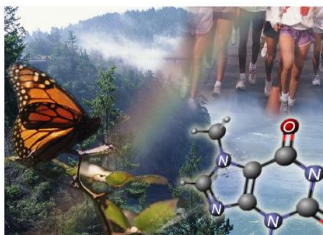


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ECHA Guidance - Hazard Assessment

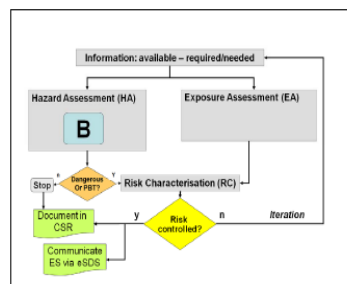


Guidance on
information requirements and
chemical safety assessment
Part B: Hazard Assessment



May 2008

Guidance for the implementation of REACH



R.7: Endpoint specific guidance

R.8: Characterisation of dose [concentration]-response for human health

R.9: Physico-chemical hazards

R.10: Characterisation of dose [concentration]-response for environment

R.11: PBT Assessment

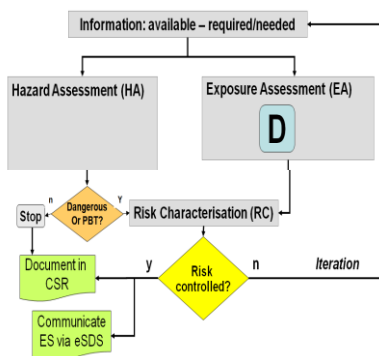


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ECHA Guidance – Exposure Assessment



R.12: Use descriptor system

R.13: Risk management measures and operational conditions

R.14: Occupational Exposure Estimation

R.15: Consumer Exposure Estimation

R.16: Environmental Exposure Estimation

R.17: Estimation of exposure from articles

R.18: Estimation of exposure from waste life stage



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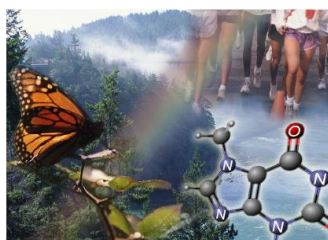
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ECHA Guidance – Risk Characterization



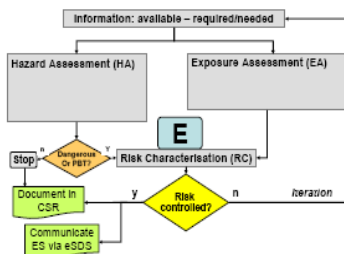
Guidance on
information requirements and
chemical safety assessment

Part E: Risk Characterisation



May 2008

Guidance for the implementation of REACH



R.13: Risk management measures and operational conditions



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Chesar stands for Chemical Safety Assessment and Reporting. The tool has been developed by the European Chemicals Agency (ECHA) for supporting registrants under REACH. It suggests a workflow for carrying out exposure assessments and risk characterisations, thereby facilitating the generation of a Chemical Safety Report (CSR) and exposure scenarios for communication.

Note: Chesar includes exposure models for workers and consumers (ECETOC-TRA) and environment (EUSES)

website: <http://chesar.echa.europa.eu/>






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-  **Box 1 Manage substance**
-  **Box 2 Report uses**
-  **Box 3 Manage exposure estimation**
-  **Box 4 Build exposure scenarios for the CSR**
-  **Box 5 Build exposure scenarios for the extended SDS**
-  **Box 6 Library**
-  **Box 7 User management**



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Environment and Climate
Regional Accession Network **ECRAN**



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